

# LEGG MASON

GLOBAL ASSET MANAGEMENT

Legg Mason Asset  
Management Australia Limited  
ABN 76 004 835 848  
AFSL No. 240827

Level 47, 120 Collins Street  
Melbourne VIC 3000

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T: 1800 679 541

To: ASX Company Announcements  
Platform

From: Legg Mason Asset Management  
Australia Limited

Fax: 1300 135 638

Fax: 03 9017 8665

Phone:

Phone: 03 9017 8653

Pages (Including cover): 9

Date: 30 October 2017

Re: Submission of Form 604 re: IFL

☐ Urgent  
Recycle

☐ For Review

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## Notes:

Please find following Form 604: Notice of change of interest of substantial shareholder by

Legg Mason Asset Management Australia Limited.

Note: Corrected form!

**Notice of change of interest of substantial shareholder**

**Form 604**  
**Corporations Law**  
**Section 671B**

To: IOOF Holdings Ltd  
 Level 29, 303 Collins St  
 Melbourne VIC 3000

**1. Details of substantial shareholder**

Legg Mason Asset Management Limited (ABN 76 004 838 849) and each of the bodies corporate listed in Annexure A

There was a change in the interests of the substantial holder on 26/10/2017

The previous notice was given to the company on 25/10/2017

The previous notice was dated 25/10/2017

**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interest in the scheme that the substantial holder or an associate had a relevant interest in when last required, and when now required, to give holding notice to the company or scheme, are as follows:

Class of securities	Previous notice		Present notice	
	Person's vote	Voting Power	Person's vote	Voting Power
Fully Paid Ordinary	25,402,073	8.46%	25,402,073	7.39%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change	Consideration given in relation to change	Class and number of securities affected	Person's votes affected
	See Annexure B				

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest and Registered holder of securities	Person entitled to be registered as holder	Nature of relevant interest	Class and number of securities affected
See Annexure C			

**5. Changes in associates**

The persons who have become associates of, ceased to be associates of, or have changed the nature of their association with, the substantial holder in relation to voting interests in the company of scheme are as follows:

Name and ACN/ABN (If applicable)	Nature of association

**6. Addresses**

The addresses of the persons named in this form are as follows:

Name	Nature of association
Legg Mason Asset Management Australia Limited	Level 47, 120 Collins Street, Melbourne VIC 3000

Dated

30/10/2017



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Legg Mason Asset Management Australia Limited

**ANNEXURE A****Legg Mason, Inc. Subsidiaries**

<b>Name of Undertaking</b>	<b>Nature of Close Link</b>
Legg Mason, Inc.	Parent Undertaking
Legg Mason & Co., LLC	Subsidiary of Parent Undertaking
Legg Mason Asset Management Australia Limited	Subsidiary of Parent Undertaking
Legg Mason Asset Management Hong Kong Limited	Subsidiary of Parent Undertaking
Legg Mason Asset Management (Japan) Co., Ltd.	Subsidiary of Parent Undertaking
Legg Mason Asset Management Singapore Pte. Limited	Subsidiary of Parent Undertaking
Legg Mason Charitable Foundation, Inc.	Subsidiary of Parent Undertaking
Legg Mason International Equities Limited	Subsidiary of Parent Undertaking
Legg Mason Investment Funds Limited	Subsidiary of Parent Undertaking
Legg Mason Investments (Europe) Limited	Subsidiary of Parent Undertaking
Legg Mason Investments (Ireland) Limited	Subsidiary of Parent Undertaking
Legg Mason Investments (Taiwan) Limited	Subsidiary of Parent Undertaking
Legg Mason Investments (Switzerland) GmbH	Subsidiary of Parent Undertaking
Legg Mason Holding (Switzerland) GmbH	Subsidiary of Parent Undertaking
Legg Mason Political Action Committee ("PAC")	Subsidiary of Parent Undertaking
Legg Mason Towarzystwo Funduszy Inwestycyjnych Spolka Akcyjna	Subsidiary of Parent Undertaking
Brandywine (UK) Holdings Limited	
The Baltimore Company	Subsidiary of Parent Undertaking
Legg Mason & Co (UK) Limited	Subsidiary of Parent Undertaking
Legg Mason Canada Holdings Ltd	Subsidiary of Parent Undertaking
Legg Mason Commercial Real Estate Services, Inc.	Subsidiary of Parent Undertaking
Legg Mason Funding Ltd	Subsidiary of Parent Undertaking
Legg Mason Global Holdings Ltd	Subsidiary of Parent Undertaking
Legg Mason Holdings, LLC	Subsidiary of Parent Undertaking
Legg Mason International Holdings, LLC	Subsidiary of Parent Undertaking
Legg Mason International Holdings (Cayman) Limited	Subsidiary of Parent Undertaking
Legg Mason (Chile) Inversiones Holding Limitada	Subsidiary of Parent Undertaking
Legg Mason Investments Holdings Limited	Subsidiary of Parent Undertaking
Legg Mason Investments Nominees Limited	Subsidiary of Parent Undertaking
Legg Mason Real Estate Securities Advisors, Inc.	Subsidiary of Parent Undertaking
Legg Mason Realty Group, Inc.	Subsidiary of Parent Undertaking
Legg Mason Realty Partners, Inc.	Subsidiary of Parent Undertaking
Legg Mason Tower, Inc.	Subsidiary of Parent Undertaking
LM BAM, Inc.	Subsidiary of Parent Undertaking
LM (BVI) Limited	Subsidiary of Parent Undertaking
LM Capital Support V, LLC	Subsidiary of Parent Undertaking
LM Holdings Limited	Subsidiary of Parent Undertaking
LM Holdings 2 Limited	Subsidiary of Parent Undertaking
LM International Holding LP	Subsidiary of Parent Undertaking
LM Tower, LLC	Subsidiary of Parent Undertaking
LMI Nominees Limited	Subsidiary of Parent Undertaking
Nova Scotia Company	Subsidiary of Parent Undertaking
Barrett Associates, Inc.	Subsidiary of Parent Undertaking
Brandywine Global Investment Management, LLC	Subsidiary of Parent Undertaking
Brandywine Global Investment Management (Canada), ULC	Subsidiary of Parent Undertaking
Brandywine Global Investment Management (Europe) Limited	Subsidiary of Parent Undertaking
Brandywine Global Investment Management (Asia) Pte. Limited	Subsidiary of Parent Undertaking
ClearBridge, LLC	Subsidiary of Parent Undertaking
ClearBridge Investments, LLC	Subsidiary of Parent Undertaking
ClearBridge Management Holdings LLC	Subsidiary of Parent Undertaking

Clarion Partners Holdings LLC	Subsidiary of Parent Undertaking
CP Intermediate Holdco, Inc.	Subsidiary of Parent Undertaking
Clarion Partners, LLC	Subsidiary of Parent Undertaking
Clarion Partners Europe, Ltd.	Subsidiary of Parent Undertaking
Clarion REIM South America Holdings, LLC	Subsidiary of Parent Undertaking
Clarion REIM South America Investimentos Imobiliarios LTDA	Subsidiary of Parent Undertaking
LM/Clarion I, LLC	Subsidiary of Parent Undertaking
LM/Clarion II, LLC	Subsidiary of Parent Undertaking
Legg Mason ClearBridge Holdings LLC	Subsidiary of Parent Undertaking
Legg Mason Fund Asset Management, Inc.	Subsidiary of Parent Undertaking
Legg Mason Investor Services, LLC	Subsidiary of Parent Undertaking
Legg Mason Partners Fund Advisor, LLC	Subsidiary of Parent Undertaking
Legg Mason Private Portfolio Group, LLC	Subsidiary of Parent Undertaking
LM Pelican Holdings, L.P.	Subsidiary of Parent Undertaking
LMM, LLC	Subsidiary of Parent Undertaking
LMOBC, Inc.	Subsidiary of Parent Undertaking
LMP Select, LLC	Subsidiary of Parent Undertaking
Martin Currie Investment Management Limited	Subsidiary of Parent Undertaking
Martin Currie Fund Management Limited	Subsidiary of Parent Undertaking
Martin Currie Asia PTE Limited	Subsidiary of Parent Undertaking
Martin Currie Inc.	Subsidiary of Parent Undertaking
Permal Group Ltd	Subsidiary of Parent Undertaking
Permal Group LLC	Subsidiary of Parent Undertaking
Permal Group Holdings LLC	Subsidiary of Parent Undertaking
Permal Capital Holdings LLC	Subsidiary of Parent Undertaking
Permal Capital Management, LLC	Subsidiary of Parent Undertaking
Permal UK Limited	Subsidiary of Parent Undertaking
Permal Cayman International LP	Subsidiary of Parent Undertaking
Permal MEP Cayman LP	Subsidiary of Parent Undertaking
EnTrustPermal Group Holdings LLC	Subsidiary of Parent Undertaking
EnTrustPermal LLC	Subsidiary of Parent Undertaking
QS Batterymarch Financial Management, Inc.	Subsidiary of Parent Undertaking
QS Investors Fund Management, LLC	Subsidiary of Parent Undertaking
QS Investors Holdings, LLC	Subsidiary of Parent Undertaking
QS Investors, LLC	Subsidiary of Parent Undertaking
RARE Infrastructure Limited	Subsidiary of Parent Undertaking
RARE Infrastructure Finance Pty Limited	Subsidiary of Parent Undertaking
RARE Infrastructure (Sovereign Enterprise No. 1) Pty Limited	Subsidiary of Parent Undertaking
RARE Infrastructure (Europe) Pty Limited	Subsidiary of Parent Undertaking
RARE Infrastructure (North America) Pty Limited	Subsidiary of Parent Undertaking
RARE Holdings Pty Limited	Subsidiary of Parent Undertaking
RARE Infrastructure (UK) Limited	Subsidiary of Parent Undertaking
RARE Infrastructure USA, Inc.	Subsidiary of Parent Undertaking
Treasury RARE Holdings Pty Limited	Subsidiary of Parent Undertaking
RARE IP Trust	Subsidiary of Parent Undertaking
Legg Mason Australia Holdings Pty Limited	Subsidiary of Parent Undertaking
Legg Mason Royce Holdings, LLC	Subsidiary of Parent Undertaking
Royce & Associates, LP	Subsidiary of Parent Undertaking
Royce & Associates GP, LLC	Subsidiary of Parent Undertaking
Royce Fund Services, Inc.	Subsidiary of Parent Undertaking
Royce Management Company, LLC	Subsidiary of Parent Undertaking
Western Asset Management Company	Subsidiary of Parent Undertaking
Western Asset Management Company Ltd- Japan	Subsidiary of Parent Undertaking
Western Asset Management Company Limited- UK	Subsidiary of Parent Undertaking

Western Asset Management Company Pty Ltd- Australia	Subsidiary of Parent Undertaking
Western Asset Management Company Distribuidora de Titulos E Valores Mobiliarios Limitada	Subsidiary of Parent Undertaking
Western Asset Management Company Pte. Ltd.- Singapore	Subsidiary of Parent Undertaking
Batterymarch Asia GP, LTD	Subsidiary of Parent Undertaking
BGIM Capital Management, LLC	Subsidiary of Parent Undertaking
BMML, Inc.	Subsidiary of Parent Undertaking
Gray Seifert & Company, LLC	Subsidiary of Parent Undertaking
LM Asset Services, LLC	Subsidiary of Parent Undertaking
Martin Currie (Holdings) Limited	Subsidiary of Parent Undertaking
Martin Currie Limited	Subsidiary of Parent Undertaking
Martin Currie (Bermuda) Limited	Subsidiary of Parent Undertaking
China Healthcare GP Limited	Subsidiary of Parent Undertaking
China Development Capital GP Limited	Subsidiary of Parent Undertaking
Martin Currie Absolute Return Fund GP Limited	Subsidiary of Parent Undertaking
Moorgate Investment Management Limited (Dormant)	Subsidiary of Parent Undertaking
Martin Currie Trustees Limited (Dormant)	Subsidiary of Parent Undertaking
Pelican Holdings I, LLC	Subsidiary of Parent Undertaking
Pelican Holdings II, LLC	Subsidiary of Parent Undertaking
Permal Group Holdings Ltd.	Subsidiary of Parent Undertaking
Permal Group SAS	Subsidiary of Parent Undertaking
LM Cayco Ltd	Subsidiary of Parent Undertaking
Permal Investment Management Services Limited	Subsidiary of Parent Undertaking
Permal (Hong Kong) Ltd.	Subsidiary of Parent Undertaking
Permal Pte. Ltd.	Subsidiary of Parent Undertaking
Permal (Isle of Man) Ltd.	Subsidiary of Parent Undertaking
Permal Business Consulting (Shanghai) Ltd.	Subsidiary of Parent Undertaking
Permal Business Consulting (Beijing) Co., Ltd.	Subsidiary of Parent Undertaking
The St. James Bank & Trust Co. Ltd.	Subsidiary of Parent Undertaking
SJBTC Ltd.	Subsidiary of Parent Undertaking
W & P Fund Services Ltd.	Subsidiary of Parent Undertaking
Saintco Ltd.	Subsidiary of Parent Undertaking
Permal (PGI) LLC	Subsidiary of Parent Undertaking
Permal Asset Management LLC	Subsidiary of Parent Undertaking
Permal GP, LLC	Subsidiary of Parent Undertaking
Precidian Investments, LLC	Subsidiary of Parent Undertaking
Western Asset Holdings (Australia) Pty Ltd.	Subsidiary of Parent Undertaking
Western Asset Management (Cayman) Holdings Limited	Subsidiary of Parent Undertaking
Western Asset Management (UK) Holdings Limited	Subsidiary of Parent Undertaking
Western Asset Management (Brazil) Holdings Limitada	Subsidiary of Parent Undertaking
EnTrustPermal Partners Holdings LLC	Subsidiary of Parent Undertaking
EnTrustPermal Partners LLC	Subsidiary of Parent Undertaking
EnTrust Permal FOF Management GP LLC	Subsidiary of Parent Undertaking
EnTrust Capital Management LP	Subsidiary of Parent Undertaking
EnTrust Securities LLC	Subsidiary of Parent Undertaking
EnTrust Partners Offshore LLC	Subsidiary of Parent Undertaking
EnTrust Partners Offshore LP	Subsidiary of Parent Undertaking
EnTrust Focus Partners LP	Subsidiary of Parent Undertaking
EnTrust Investment Management Pte. Ltd.	Subsidiary of Parent Undertaking
EnTrust Capital (UK) LLP	Subsidiary of Parent Undertaking
EnTrust Middle East Ltd.	Subsidiary of Parent Undertaking

## Annexure B

Legg Mason Asset Management Australia Limited  
Four months trading history as at 24/10/2016

Trade date	Trade	Consideration	Quantity	Class
24/10/2017	B	14469	1365	Ordinary Shares
24/10/2017	B	572888	54046	Ordinary Shares
24/10/2017	B	48362701	4562519	Ordinary Shares
24/10/2017	B	105703	9972	Ordinary Shares
24/10/2017	B	1511200	142566	Ordinary Shares
24/10/2017	B	3025187	285395	Ordinary Shares
24/10/2017	B	328558	30996	Ordinary Shares
24/10/2017	B	214692	20254	Ordinary Shares
24/10/2017	B	427911	40369	Ordinary Shares
24/10/2017	B	381886	36027	Ordinary Shares
24/10/2017	B	844004	79623	Ordinary Shares
24/10/2017	B	563602	53170	Ordinary Shares
24/10/2017	B	117702	11104	Ordinary Shares
24/10/2017	B	228504	21557	Ordinary Shares
24/10/2017	B	3300999	311415	Ordinary Shares
23/10/2017	B	23222	2046	Ordinary Shares
10/10/2017	S	204953	18318	Ordinary Shares
5/10/2017	S	18784	1706	Ordinary Shares
4/10/2017	S	130085	11788	Ordinary Shares
27/09/2017	B	12810	1152	Ordinary Shares
25/09/2017	B	5360	476	Ordinary Shares
21/09/2017	B	61321	5494	Ordinary Shares
15/09/2017	S	2982362	274157	Ordinary Shares
11/09/2017	S	188723	17252	Ordinary Shares
25/08/2017	S	2459	225	Ordinary Shares
24/08/2017	S	129173	11793	Ordinary Shares
22/08/2017	B	122817	11243	Ordinary Shares
18/08/2017	S	291522	26565	Ordinary Shares
18/08/2017	S	29004	2643	Ordinary Shares
17/08/2017	S	10751	974	Ordinary Shares
17/08/2017	S	107875	9773	Ordinary Shares
17/08/2017	S	10740	973	Ordinary Shares
17/08/2017	B	129367	11720	Ordinary Shares
17/08/2017	S	46013	4162	Ordinary Shares
17/08/2017	S	461704	41762	Ordinary Shares
17/08/2017	S	45947	4156	Ordinary Shares
14/08/2017	S	18114	1629	Ordinary Shares
14/08/2017	S	90078	8077	Ordinary Shares
14/08/2017	S	8967	804	Ordinary Shares
14/08/2017	S	6212	557	Ordinary Shares
14/08/2017	S	56197	5039	Ordinary Shares
14/08/2017	S	1747603	156702	Ordinary Shares
14/08/2017	S	262332	23591	Ordinary Shares
14/08/2017	S	26110	2348	Ordinary Shares
14/08/2017	S	163675	14719	Ordinary Shares
14/08/2017	S	5089769	457713	Ordinary Shares
11/08/2017	S	16537	1508	Ordinary Shares
11/08/2017	S	1645	150	Ordinary Shares
11/08/2017	S	1140	104	Ordinary Shares
11/08/2017	S	10319	941	Ordinary Shares
11/08/2017	S	320816	29255	Ordinary Shares
10/08/2017	S	3618	322	Ordinary Shares

10/08/2017	S	15847	1408	Ordinary Shares
10/08/2017	S	5214	464	Ordinary Shares
10/08/2017	S	52374	4661	Ordinary Shares
10/08/2017	S	10996	977	Ordinary Shares
10/08/2017	S	99404	8832	Ordinary Shares
10/08/2017	S	159315	14155	Ordinary Shares
10/08/2017	S	3090938	274628	Ordinary Shares
10/08/2017	S	1016216	90438	Ordinary Shares
10/08/2017	S	32676	2908	Ordinary Shares
4/08/2017	B	139597	13970	Ordinary Shares
2/08/2017	S	5365	536	Ordinary Shares
2/08/2017	B	5365	536	Ordinary Shares
2/08/2017	B	145068	14503	Ordinary Shares
31/07/2017	S	28796	2865	Ordinary Shares
31/07/2017	S	20021	1992	Ordinary Shares
31/07/2017	S	289365	26790	Ordinary Shares
28/07/2017	B	111853	11214	Ordinary Shares
27/07/2017	B	217866	22091	Ordinary Shares
25/07/2017	B	95674	9819	Ordinary Shares
25/07/2017	B	37923	3892	Ordinary Shares
24/07/2017	S	199801	21033	Ordinary Shares
24/07/2017	B	60769	6390	Ordinary Shares
24/07/2017	S	60769	6390	Ordinary Shares
20/07/2017	B	102763	10714	Ordinary Shares
11/07/2017	S	354141	37348	Ordinary Shares
10/07/2017	B	19353	2010	Ordinary Shares
5/07/2017	S	706450	72577	Ordinary Shares
5/07/2017	S	329616	33863	Ordinary Shares
5/07/2017	S	48883	5022	Ordinary Shares
3/07/2017	S	727227	74569	Ordinary Shares
27/06/2017	S	244492	25071	Ordinary Shares
23/06/2017	B	51034	5098	Ordinary Shares



**Annexure C**

<b>Holder of relevant interest and Registered holder of securities</b>	<b>Person entitled to be registered as holder</b>	<b>Nature of relevant interest</b>	<b>Class and number of securities affected</b>
Legg Mason Asset Management Australia Limited	Citi	Register and beneficial holder	20,373,290 Fully Paid ordinary
Legg Mason Asset Management Australia Limited	RBC	Register and beneficial holder	1,291,487 Fully Paid ordinary
Legg Mason Asset Management Australia Limited	BBH	Register and beneficial holder	184,172 Fully Paid ordinary
Legg Mason Asset Management Australia Limited	BNP	Register and beneficial holder	1,801,774 Fully Paid ordinary
Legg Mason Asset Management Australia Limited	BNYM	Register and beneficial holder	246,230 Fully Paid ordinary
Legg Mason Asset Management Australia Limited	NCS	Register and beneficial holder	1,339,231 Fully Paid ordinary
Legg Mason Asset Management Australia Limited	JP Morgan	Register and beneficial holder	110,889 Fully Paid ordinary
Royce & Associates, LLC	State Street	Register and beneficial holder	55000 Fully Paid ordinary