

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

|   |
|---|
| <b>Name of entity: WAM MICROCAP LIMITED</b> |
| <b>ABN 34 617 838 418</b>                   |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                 |
|----------------------------|-----------------|
| <b>Name of Director</b>    | Kate Thorley    |
| <b>Date of last notice</b> | 22 October 2019 |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Direct or indirect interest</b>  | INDIRECT & DIRECT  |
| <b>Nature of indirect interest (including registered holder)</b><br>Note: Provide details of the circumstances giving rise to the relevant interest.                        | Superannuation Fund<br>Marche Super Pty Limited <Marche Super Fund A/C><br>Kat & Icp Investments Pty Limited |
| <b>Date of change</b>   | 21 April 2020  |
| <b>No. of securities held prior to change</b>   | 218,288 Ordinary Shares INDIRECT<br>10,984 Ordinary Shares DIRECT  |
| <b>Class</b>  | Ordinary Shares  |
| <b>Number acquired</b>  | 6,007 Ordinary Shares INDIRECT<br>304 Ordinary Shares DIRECT   |
| <b>Number disposed</b>  | Nil  |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | \$6,823.45<br>Non-cash – issued via DRP price \$1.08120  |
| <b>No. of securities held after change</b>  | 224,295 Ordinary Shares INDIRECT<br>11,288 Ordinary Shares DIRECT  |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Issue of securities under dividend reinvestment plan   |

+ See chapter 19 for defined terms.

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#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Detail of contract</b>   |  |
| <b>Nature of interest</b>   |  |
| <b>Name of registered holder<br/>(if issued securities)</b>   |  |
| <b>Date of change</b>   |  |
| <b>No. and class of securities to which<br/>interest related prior to change</b><br><small>Note: Details are only required for a contract in<br/>relation to which the interest has changed</small> |  |
| <b>Interest acquired</b>  |  |
| <b>Interest disposed</b>  |  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details<br/>and an estimated valuation</small>   |  |
| <b>Interest after change</b>  |  |

#### Part 3 – +Closed period

|  |     |
|--|-----|
| <b>Were the interests in the securities or contracts detailed<br/>above traded during a +closed period where prior written<br/>clearance was required?</b> | No  |
| <b>If so, was prior written clearance provided to allow the trade<br/>to proceed during this period?</b>   | N/A |
| <b>If prior written clearance was provided, on what date was this<br/>provided?</b>  | N/A |

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+ See chapter 19 for defined terms.