

## SEQUOIA FINANCIAL GROUP LTD

(ASX: SEQ)

1 February 2021

### Notice Pursuant to Section 708A(5)(e) of the Corporations Act

Sequoia Financial Group Ltd (the **Company**) gives notice under section 708A(5)(e) of the *Corporations Act 2001* (Cth) as follows:

- (a) On 1 February 2021, the Company issued 2,000,000 Fully Paid Ordinary Shares (the **Shares**).
- (b) The Shares were issued without disclosure to the recipients under Part 6D.2 of the Corporations Act.
- (c) As at the date of this notice the Company has complied with:
  - the provisions of Chapter 2M of the Corporations Act as they apply to the Company; and
  - Section 674 of the Corporations Act.
- (d) As at the date of this notice there is no excluded information required to be disclosed under section 708A(6)(e) of the Corporations Act.

Signed for **Sequoia Financial Group Ltd**



**Rebecca Weir**  
Company Secretary

Authorised by the Board of Directors

#### Registered Office:

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#### For further information please contact:

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**Rebecca Weir, Company Secretary +61 431 240 642**

#### ABOUT SEQUOIA FINANCIAL GROUP LIMITED

ASX-listed Sequoia Financial Group Ltd (ASX: SEQ) is an integrated financial services company providing products and services to self-directed retail and wholesale clients and those of third party professional service firms.

It provides:

- Investment and superannuation products
- Wealth management and advisory services
- Corporate advisory and capital markets expertise
- Retail, wholesale and institutional trading platforms
- Market data and financial news services

Sequoia operates various AFS Licenses and its subsidiary Morrison Securities Pty Ltd is an ASX Market Participant.