

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>AUCTUS ALTERNATIVE INVESTMENTS LIMITED</b>
<b>ABN</b>	<b>76 149 278 759</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Bradley Chase Harrison
<b>Date of last notice</b>	22 November 2018

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	-
<b>Date of change</b>	5 December 2018
<b>No. of securities held prior to change</b>	<b>Direct</b> 300,000 Fully paid ordinary shares 30,000,000 Unlisted Options, exercisable at \$0.10 (10 cent), expiring 21 November 2021
<b>Class</b>	1. Fully paid ordinary shares 2. Unlisted Options expiring 21 November 2021
<b>Number acquired</b>	N/A
<b>Number disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	N/A

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<b>No. of securities held after change</b>	<b>Direct</b> 30,000 Fully paid ordinary shares 3,000,000 Unlisted Options, exercisable at \$1.00, expiring 21 November 2021
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Reduction in holdings as a result of the consolidation of capital on a 10:1 basis, as approved by shareholders on 29 November 2018

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

<sup>+</sup> See chapter 19 for defined terms.

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Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>AUCTUS ALTERNATIVE INVESTMENTS LIMITED</b>
<b>ABN</b>	<b>76 149 278 759</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Campbell McComb
<b>Date of last notice</b>	21 May 2018

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Camac Investments Pty Ltd CM2 Investments Pty Ltd <McComb Super Fund>
<b>Date of change</b>	5 December 2018
<b>No. of securities held prior to change</b>	<b>Direct</b> 1,500,000 Fully paid ordinary shares  <b>Indirect</b> <u>Camac Investments Pty Ltd</u> 74,928 Fully paid ordinary shares 7,500,000 Fully paid ordinary shares, escrowed until 5 March 2019  <u>CM2 Investments Pty Ltd &lt;McComb Super Fund&gt;</u> 500,000 Fully paid ordinary shares
<b>Class</b>	1. Fully paid ordinary shares 2. Fully paid ordinary shares, escrowed until 5 March 2019

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<b>Number acquired</b>	N/A
<b>Number disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	N/A
<b>No. of securities held after change</b>	<b>Direct</b> 150,000 Fully paid ordinary shares  <b>Indirect</b> <u>Camac Investments Pty Ltd</u> 7,493 Fully paid ordinary shares 750,000 Fully paid ordinary shares, escrowed until 5 March 2019  <u>CM2 Investments Pty Ltd &lt;McComb Super Fund&gt;</u> 50,000 Fully paid ordinary shares
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Reduction in holdings as a result of the consolidation of capital on a 10:1 basis, as approved by shareholders on 29 November 2018

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

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+ See chapter 19 for defined terms.

**Part 3 – <sup>+</sup>Closed period**

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

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<b>Name of entity</b>	<b>AUCTUS ALTERNATIVE INVESTMENTS LIMITED</b>
<b>ABN</b>	<b>76 149 278 759</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Jay Stephenson
<b>Date of last notice</b>	6 October 2017

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Pazzia Pty Ltd <Altelase Super Fund A/C> Almamater Pty Ltd <Stephenson Family A/C> WSG Capital Pty Ltd <Wolfstar Investments A/C> Vin Ethos Pty Ltd <Vin Ethos A/C>
<b>Date of change</b>	5 December 2018
<b>No. of securities held prior to change</b>	<p><b>Direct</b> 1,313 Fully paid ordinary shares</p> <p><b>Indirect</b>  <u>Pazzia Pty Ltd &lt;Altelase Super Fund A/C&gt;</u>  24,282 Fully paid ordinary shares   <u>Almamater Pty Ltd &lt;Stephenson Family A/C&gt;</u>  938 Fully paid ordinary shares   <u>WSG Capital Pty Ltd &lt;Wolfstar Investments A/C&gt;</u>  32,813 Fully paid ordinary shares   <u>Vin Ethos Pty Ltd &lt;Vin Ethos A/C&gt;</u>  2,028,343 Fully paid ordinary shares  500,000 Unlisted Options, exercisable at \$0.20 (20 cent), expiring 19 February 2018  1,000,000 Unlisted Options, exercisable at \$0.06 (6 cents), expiring 1 December 2021  1,000,000 Unlisted Options, exercisable at \$0.12 (12 cents), expiring 1 December 2021  2,000,000 Unlisted Options, exercisable at \$0.22 (22 cents), expiring 1 December 2021</p>

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<b>Class</b>	<ol style="list-style-type: none"> <li>1. Fully paid ordinary shares</li> <li>2. Unlisted Options, exercisable at \$0.20 (20 cents) expiring 19 February 2018</li> <li>3. Unlisted Options, exercisable at \$0.06 (6 cents), expiring 1 December 2021</li> <li>4. Unlisted Options, exercisable at \$0.12 (12 cents), expiring 1 December 2021</li> <li>5. Unlisted Options, exercisable at \$0.22 (22 cents), expiring 1 December 2021</li> </ol>
<b>Number acquired</b>	N/A
<b>Number disposed</b>	2. Unlisted Options, exercisable at \$0.20 (20 cents), expired
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	N/A
<b>No. of securities held after change</b>	<p><b>Direct</b> 132 Fully paid ordinary shares</p> <p><b>Indirect</b>  <u>Pazzia Pty Ltd &lt;Altelase Super Fund A/C&gt;</u>            2,429 Fully paid ordinary shares   <u>Almamater Pty Ltd &lt;Stephenson Family A/C&gt;</u>            94 Fully paid ordinary shares   <u>WSG Capital Pty Ltd &lt;Wolfstar Investments A/C&gt;</u>            3,282 Fully paid ordinary shares   <u>Vin Ethos Pty Ltd &lt;Vin Ethos A/C&gt;</u>            202,835 Fully paid ordinary shares            100,000 Unlisted Options, exercisable at \$0.60 (60 cents), expiring 1 December 2021            100,000 Unlisted Options, exercisable at \$1.20, expiring 1 December 2021            200,000 Unlisted Options, exercisable at \$2.20, expiring 1 December 2021</p>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	<p>1, 3, 4 and 5 - Reduction in holdings as a result of the consolidation of capital on a 10:1 basis, as approved by shareholders on 29 November 2018</p> <p>2 – Expiry of Options</p>

+ See chapter 19 for defined terms.

## **Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

## **Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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<b>Name of entity</b>	<b>AUCTUS ALTERNATIVE INVESTMENTS LIMITED</b>
<b>ABN</b>	<b>76 149 278 759</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Michael John Hynes
<b>Date of last notice</b>	21 May 2018

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Pebble Bay Capital Pte Ltd
<b>Date of change</b>	5 December 2018
<b>No. of securities held prior to change</b>	<b>Direct</b> 457,125 Fully paid ordinary shares  <b>Indirect</b> <u>Pebble Bay Capital Pte Ltd</u> 13,720,091 Fully paid ordinary shares 3,500,000 Unlisted Options, exercisable at \$0.06 (6 cents), expiring 1 December 2021 3,500,000 Unlisted Options, exercisable at \$0.12 (12 cents), expiring 1 December 2021 8,000,000 Unlisted Options, exercisable at \$0.22 (22 cents), expiring 1 December 2021

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<b>Class</b>	<ol style="list-style-type: none"> <li>1. Fully paid ordinary shares</li> <li>2. Unlisted Options, exercisable at \$0.06 (6 cents), expiring 1 December 2021</li> <li>3. Unlisted Options, exercisable at \$0.12 (12 cents), expiring 1 December 2021</li> <li>4. Unlisted Options, exercisable at \$0.22 (22 cents), expiring 1 December 2021</li> </ol>
<b>Number acquired</b>	N/A
<b>Number disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	N/A
<b>No. of securities held after change</b>	<p><b>Direct</b>            45,713 Fully paid ordinary shares</p> <p><b>Indirect</b>  <u>Pebble Bay Capital Pte Ltd</u>            1,372,010 Fully paid ordinary shares            350,000 Unlisted Options, exercisable at \$0.60, (60 cents), expiring 1 December 2021            350,000 Unlisted Options, exercisable at \$1.20, expiring 1 December 2021            800,000 Unlisted Options, exercisable at \$2.20, expiring 1 December 2021</p>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Reduction in holdings as a result of the consolidation of capital on a 10:1 basis, as approved by shareholders on 29 November 2018

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## **Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

## **Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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