



EAST COAST MINERALS NL  
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17 November, 2011

Ms Yong  
Senior Adviser, Listings ( Sydney)  
ASX Compliance Pty Limited  
20 Bridge Street  
Sydney NSW 2000

Dear Ms Yong,

**Re: Appendix 3Y - Change of Director's Interest Notice - Anthony Roberts**

In reference to your letter of 11 November 2011 we advise the following in relation to the following queries raised

1. "Please explain why Appendix 3Y was lodged late"

The reason for this being lodged late is primarily due to a misunderstanding between Mr Roberts and his London broker, in that Mr Roberts instructed him to provide the details of the purchase of the 200,000 ECM shares including how the shares were to be registered with ECM, as Mr Roberts was unsure as to how his broker would register these shares. The details of the share purchase were in part provided to ECM by Mr Roberts and follow up requests were made by the Company to Mr Roberts to provide all details of how the shares were acquired. Mr Roberts was at this time engaged in presentations, meetings etc in Austria and had thought that all information was provided. The late lodgement occurred due to a misunderstanding by Mr Roberts on what information was provided to the Company.

2. "What arrangements does the Company have in place with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A"

Directors have been advised of their duties and responsibilities in regard to reporting to the company secretary any movements their relevant interests in securities. The company secretary is then instructed to lodge the required

appendix 3X, 3Y or 3Z. In the event that the company secretary is unavailable the Company has in place a process for the appendix forms to be lodged on behalf of the Company by the consulting company that provides accounting and administration services.

3. "If the current arrangements are inadequate or not being enforced, what additional steps does the Company intend to take to ensure compliance with listing rule 3.19B"

The current arrangements are adequate and are adopted to ensure compliance with listing rule 3.19B

Yours sincerely

Lynton McCreery  
Company Secretary





11 November 2011

Lynton McCreery  
Company Secretary  
East Coast Minerals NL  
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West Perth WA 6005

By email

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Dear Lynton

**East Coast Minerals NL (the "Company")  
Appendix 3Y – Change of Director's Interest Notice**

We refer to the following;

1. The Appendix 3Y lodged by the Company with ASX Limited ("ASX") on 11 November 2011 for Mr Anthony Roberts (the "Appendix 3Y"); and
2. Listing rule 3.19A.2 which requires an entity to tell ASX the following:

*A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust). The entity must complete Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.*

3. Listing rule 3.19B which states as follows:

*An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.*

4. The Companies Update dated 27 June 2008, reminding listed entities of their obligation to notify ASX within 5 business days of the notifiable interests in securities held by each director and outlining the action that ASX would take in relation to breaches of listings rules 3.19A and 3.19B.

The Appendix 3Y indicates that the changes in the director's notifiable interests occurred on 14 October 2011. It appears that the Appendix 3Y should have been lodged with ASX in respect of these changes by 21 October 2011. Consequently, the Company may be in breach of listing rules 3.19A and/or 3.19B. It also appears the director concerned may have breached section 205G of the Corporations Act.

Please note that ASX is required to record details of breaches of the listing rules by listed companies for its reporting requirements.

ASX reminds the Company of its contract with ASX to comply with the listing rules. In the circumstances ASX considers that it is appropriate that the Company make necessary arrangements to ensure there is not a reoccurrence of a breach of the listing rules.

Having regard to listing rules 3.19A and 3.19B and Guidance Note 22: "Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities", we ask that you answer each of the following questions:

1. Please explain why the Appendix 3Y was lodged late.
2. What arrangements does the Company have in place with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does the Company intend to take to ensure compliance with listing rule 3.19B?

Your response should be sent to me by a return e-mail or by facsimile on facsimile number **(02) 9241 7620**. It should not be sent to the Company Announcements Office.

A response is requested as soon as possible and, in any event, **not later than close of business (Sydney time) on Wednesday, 16 November 2011**.

Under listing rule 18.7A, a copy of this query and your response will be released to the market, so your response should be in a form suitable for release and must separately address each of the questions asked. If you have any queries or concerns, please contact me immediately

If you have any queries or concerns, please contact me immediately.

Yours sincerely

*[Sent electronically without signature]*

Stephanie Yong  
Senior Adviser, Listings (Sydney)