

These shareholder metrics represent the key operations of NZX's business.

### Core Markets

NZX Listed Issuers				Capital Listed and Raised			
	Oct 2022	Change YoY		Oct 2022	YTD	Change YoY	
Total equity securities	132	-0.8%		Total capital listed and raised	\$1,206 m	\$17,767 m	39.5%
Total fund securities	49	2.1%					
Total retail debt securities	147	4.3%					
Total wholesale debt securities	9	12.5%					
Total other securities	2	-50.0%					
Total listed securities	339	1.5%					
Total NZX Main Board equity issuers	131	-0.8%					
Total NZX Main Board fund issuers	49	2.1%					
Total NZX Debt Market retail debt issuers	50	4.2%					
Total NZX Debt Market wholesale debt issuers	2	0.0%					
Total other issuers	2	-50.0%					
Total unique issuers	208	1.0%					
Market Capitalisation				New Capital Listed			
	Oct 2022	Change YoY	% of GDP				
Total market capitalisation	\$221,930 m	-4.4%	61.8%	Total capital listed by all issuers	\$350 m	\$6,176 m	2.5%
All equity	\$162,937 m	-9.8%	45.3%	Equity listings	-	\$59 m	-93.4%
All funds	\$7,396 m	-0.1%	2.1%	Fund listings	-	\$7 m	n/a
All other	\$1 m	-97.1%	0.0%	Retail debt listings	\$350 m	\$5,310 m	3.5%
Total NZX Main Board	\$170,334 m	-9.5%	47.4%	Wholesale debt listings	-	\$800 m	n/a
Retail debt	\$37,729 m	9.4%	10.5%				
Green bonds	\$5,810 m	63.7%	1.6%				
Wholesale debt	\$8,057 m	37.3%	2.2%				
Total NZX Debt Market	\$51,596 m	17.5%	14.4%				
Cash Market				Secondary Capital Raised			
	Oct 2022	Change YoY					
Total value traded	\$2,279 m	-32.2%		Total capital raised by all issuers	\$856 m	\$11,591 m	72.7%
Auction Value Traded	\$496 m	-16.6%		Total equity raised - NZ issuers	\$48 m	\$2,631 m	21.1%
On-market value traded	\$1,398 m	-35.6%		Total equity raised - dual or foreign issuer	-	\$4,181 m	8797.3%
% of value on-market	61.4%	-4.9%		Total funds raised - NZ issuers	\$49 m	\$883 m	-53.4%
Total trades	722,450	-34.9%		Total funds raised - dual or foreign issuer	\$33 m	\$494 m	14.0%
Daily average value traded	\$114 m	-32.2%		Total retail debt raised	\$726 m	\$2,991 m	99.6%
Daily average trades	36,123	-34.9%		Total wholesale debt raised	-	\$411 m	-38.4%
Total Equity Transactions				Clearing House / Depository			
	Oct 2022	Change YoY			Oct 2022	Change YoY	
Total trades	717,660	-35.2%		Gross value of cleared trades over month	\$2,275 m	-32.3%	
Value traded	\$2,055 m	-36.5%		Assets under custody in depository	\$6,061 m	5.3%	
% of value on-market	65.6%	-0.5%		Depository Uplifts	8,297	-9.1%	
Average on-market trade size	\$1,884	-2.4%		Settlement Lines	18,573	-1.1%	
				OTC Trades	6,180	-5.9%	
Total Debt Transactions							
	Oct 2022	Change YoY					
Total trades	4,790	37.4%					
Value traded	\$224 m	72.5%					
Cumulative Numbers - YTD				Derivatives			
	Oct 2022	Change YoY			Oct 2022	Change YoY	
Number of trades	10,130,301	-22.4%		Futures - total lots traded	41,402	60.7%	
Total value traded	\$31,242 m	-29.4%		Options - total lots traded	361	-86.7%	
% of value on-market	64.7%	4.3%		Total derivatives lots traded	41,763	46.6%	
				Total derivatives lots traded - YTD	372,599	42.3%	
				Open interest	111,721	72.2%	
				Notional value traded (\$USD)	\$307 m	52.0%	
				Options to futures ratio	0.9%	-91.5%	
				Negotiated Large Trade (NLT) %*	22.0%	n/a	
				*Following SGX-NZX partnership, block trades are now represented by SGX Negotiated Large Trades			
				Energy and Environment Contracts			
	Oct 2022	Change YoY			Oct 2022	Change YoY	
				Operating / Technology contracts	18	0.0%	
				- contracts ≥ \$500k p.a.	5	0.0%	
				- contracts < \$500k p.a.	13	0.0%	

NZX Indices Performance	Oct 2022	Change YoY	Wholesale Data	Oct 2022	Change YoY
S&P/NZX 50 Index (Gross)	11,338	-13.4%	Terminal numbers - professional	6,835	-0.2%
S&P/NZX 50 Index (Capital)	4,520	-15.9%	Terminal numbers - retail	1,317	-39.8%
S&P/NZX 50 Portfolio Index	7,323	-12.6%	Licences - value ≥ \$20k p.a.	63	8.6%
S&P/NZX NZ Fixed Interest Composite Index	113	-5.6%	Licences - value < \$20k p.a.	83	2.5%
S&P/NZX A-Grade Bond Index	5,393	-4.6%			

Direct Data Products	Oct 2022	Change YoY	Dairy Data Subscriptions	Oct 2022	Change YoY
Proprietary security subscription products	307	-1.0%	Dairy data subscriptions	441	-1.3%
- products ≥ \$3,000 p.a.	105	-4.5%	- products ≥ \$1,500 p.a.	105	-0.9%
- products < \$3,000 p.a.	202	1.0%	- products < \$1,500 p.a.	336	-1.5%

## Smartshares

	Oct 2022	Change YoY
Total external funds under management	\$8,117 m	37.1%

Scheme FUM	Oct 2022	Change YoY
SuperLife Kiwisaver	\$1,772 m	26.9%
Workplace Savings Master Trusts	\$2,893 m	101.7%
Smartshares Exchange Traded Funds - External	\$2,415 m	7.8%
Smartshares Exchange Traded Funds - Total (external and internal)	\$5,685 m	6.6%

Other Metrics	Oct 2022	Change YoY
Units on issue	2,104 m	17.2%
Value of stock lent over month	\$161 m	-26.1%

## Wealth Technologies

Funds under administration	\$10,056 m	26.3%
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## Notes

- All figures are measured at the end of the last trading day of each month unless otherwise stated.  
Change refers to the change since the same period last year unless otherwise stated.
- Equity market capitalisation includes all securities quoted on the NZX Main Board (NZSX).  
With an overseas domiciled Issuer, the market capitalisation represents the amount that is held in New Zealand.
- Market capitalisation for the NZX Debt Market is the total nominal (face) value of all listed securities, excluding New Zealand Government stock.
- Funds include exchange traded funds, managed funds, listed investment companies and trusts.
- "Other" securities include rights, warrants and options quoted on the NZX Main Board (NZSX).
- Please note these operational statistics are based on NZX's internal records, which are unaudited.  
Differences may arise between this data and that disclosed in subsequent audited financial statements.  
Differences may also arise between this data and the previously reported data due to rounding and classification restatements.

To enquire about information listed on this sheet, or to find out more about NZX products or services, please email: [data@nzx.com](mailto:data@nzx.com)