

These shareholder metrics represent the key operations of NZX's business.

### Core Markets

NZX Listed Issuers				Apr 2023	Change YoY	Capital Listed and Raised				Apr 2023	YTD	Change YoY			
Total equity securities				131	-3.0%	Total capital listed and raised				\$1,721 m	\$4,591 m	15.0%			
Total fund securities				49	-	<b>New Capital Listed</b>									
Total retail debt securities				147	3.5%					Total capital listed by all issuers			\$1,550 m	\$2,625 m	148.3%
Total wholesale debt securities				9	12.5%					Equity listings			-	-	n/a
Total other securities				3	-					Fund listings			-	-	-100.0%
Total listed securities				339	0.6%					Retail debt listings			\$1,550 m	\$2,625 m	150.0%
						Wholesale debt listings				-	-	n/a			
Total NZX Main Board equity issuers				130	-3.0%	<b>Secondary Capital Raised</b>									
Total NZX Main Board fund issuers				49	-					Total capital raised by all issuers			\$171 m	\$1,966 m	-33.0%
Total NZX Debt Market retail debt issuers				49	2.1%					Total equity raised - NZ issuers			\$35 m	\$1,103 m	142.6%
Total NZX Debt Market wholesale debt issuers				2	-					Total equity raised - dual or foreign issuer			-	\$0 m	-99.8%
Total other issuers				3	-					Total funds raised - NZ issuers			\$50 m	\$268 m	-30.7%
Total unique issuers				206	-1.4%	Total funds raised - dual or foreign issuer				\$86 m	\$297 m	12.9%			
						Total retail debt raised				-	\$298 m	-79.0%			
						Total wholesale debt raised				-	-	-100.0%			
						Total number of secondary capital raising events				158	553	-30.2%			
						Equity issuers - capital raised < \$10m				26	70	-6.7%			
						Equity issuers - capital raised ≥ \$10m < \$100m				1	6	-			
						Equity issuers - capital raised ≥ \$100m				-	2	-			
						Fund issuers - capital raised				131	469	-32.7%			
						Retail debt issuers - capital raised				-	6	-45.5%			
						Wholesale debt issuers - capital raised				-	-	-100.0%			
Market Capitalisation				Apr 2023	Change YoY	% of GDP									
Total market capitalisation				\$223,283 m	1.9%	58.7%									
All equity				\$161,988 m	-2.7%	42.6%									
All funds				\$7,877 m	3.9%	2.1%									
All other				\$1 m	-70.5%	-									
Total NZX Main Board				\$169,866 m	-2.4%	44.7%									
Retail debt				\$39,189 m	15.0%	10.3%									
Green bonds				\$6,185 m	52.7%	1.6%									
Wholesale debt				\$8,043 m	18.2%	2.1%									
Total NZX Debt Market				\$53,417 m	18.9%	14.0%									
Cash Market				Apr 2023	Change YoY										
Total value traded				\$2,092 m	-34.0%										
Auction Value Traded				\$446 m	-44.7%										
On-market value traded				\$1,203 m	-45.5%										
% of value on-market				57.5%	-17.4%										
Total trades				608,899	-48.2%										
Daily average value traded				\$123 m	-30.2%										
Daily average trades				35,818	-45.1%										
Total Equity Transactions															
Total trades				603,702	-48.5%										
Value traded				\$1,913 m	-36.8%										
% of value on-market				59.7%	-16.7%										
Average on-market trade size				\$1,897	2.3%										
Total Debt Transactions															
Total trades				5,197	32.5%										
Value traded				\$179 m	23.1%										
Cumulative Numbers - YTD															
Number of trades				3,380,537	-27.5%										
Total value traded				\$11,055 m	-20.4%										
% of value on-market				62.4%	-3.3%										
Energy and Environment Contracts				Apr 2023	Change YoY										
Operating / Technology contracts					17	-5.6%									
- contracts ≥ \$500k p.a.					4	-20.0%									
- contracts < \$500k p.a.					13										

\*Following SGX-NZX partnership, block trades are now represented by SGX Negotiated Large Trades

NZX Indices Performance			Wholesale Data		
	Apr 2023	Change YoY		Apr 2023	Change YoY
S&P/NZX 50 Index (Gross)	12,020	1.1%	Terminal numbers - professional	6,805	1.6%
S&P/NZX 50 Index (Capital)	4,723	-1.9%	Terminal numbers - retail	1,159	-35.6%
S&P/NZX 50 Portfolio Index	7,502	-2.7%	Licences - value ≥ \$20k p.a.	65	6.6%
S&P/NZX NZ Fixed Interest Composite Index	117	1.7%	Licences - value < \$20k p.a.	82	9.3%
S&P/NZX A-Grade Bond Index	5,595	2.6%			

Direct Data Products			Dairy Data Subscriptions		
	Apr 2023	Change YoY		Apr 2023	Change YoY
Proprietary security subscription products	305	-0.7%	Dairy data subscriptions	442	-0.2%
- products ≥ \$3,000 p.a.	104	-2.8%	- products ≥ \$1,500 p.a.	113	7.6%
- products < \$3,000 p.a.	201	0.5%	- products < \$1,500 p.a.	329	-2.7%

## Smartshares

	Apr 2023	Change YoY
Total external funds under management	\$10,459 m	29.9%

Scheme FUM			Apr 2023	Change YoY
SuperLife Kiwisaver			\$1,923 m	9.1%
Workplace Savings Master Trusts			\$2,988 m	-3.6%
Smartshares Exchange Traded Funds - External			\$2,387 m	3.0%
Smartshares Exchange Traded Funds - Total (external and internal)			\$6,141 m	7.1%

Other Metrics			Apr 2023	Change YoY
Units on issue			2,204 m	8.6%
Value of stock lent over month			\$58 m	-75.0%

## Wealth Technologies

Funds under administration	\$10,612 m	2.2%
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## Notes

- All figures are measured at the end of the last trading day of each month unless otherwise stated.  
Change refers to the change since the same period last year unless otherwise stated.
- Equity market capitalisation includes all securities quoted on the NZX Main Board (NZSX).  
With an overseas domiciled Issuer, the market capitalisation represents the amount that is held in New Zealand.
- Market capitalisation for the NZX Debt Market is the total nominal (face) value of all listed securities, excluding New Zealand Government stock.
- Funds include exchange traded funds, managed funds, listed investment companies and trusts.
- "Other" securities include rights, warrants and options quoted on the NZX Main Board (NZSX).
- Please note these operational statistics are based on NZX's internal records, which are unaudited.  
Differences may arise between this data and that disclosed in subsequent audited financial statements.  
Differences may also arise between this data and the previously reported data due to rounding and classification restatements.

To enquire about information listed on this sheet, or to find out more about NZX products or services, please email: [data@nzx.com](mailto:data@nzx.com)