

Wednesday, 10 November 2021

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 9 November 2021.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.28088	56,930,726	-
ASD	Smartshares Australian Dividend ETF	1.61053	18,804,541	-
ASF	Smartshares Australian Financials ETF	7.98974	3,240,468	-
ASP	Smartshares Australian Property ETF	1.62809	33,922,531	-
ASR	Smartshares Australian Resources ETF	5.09838	8,332,633	-
DIV	Smartshares NZ Dividend ETF	1.32506	83,032,211	-
EMF	Smartshares Emerging Markets ETF	1.43940	109,574,155	-
EUF	Smartshares Europe ETF	1.96959	99,581,036	-
FNZ	Smartshares NZ Top 50 ETF	3.54581	207,940,182	-
GBF	Smartshares Global Bond ETF	3.32543	68,404,618	(50,000)
MDZ	Smartshares NZ Mid Cap ETF	6.59652	30,040,674	-
MZY	Smartshares Australian Mid Cap ETF	9.42664	17,732,274	-
NPF	Smartshares NZ Property ETF	1.47989	94,136,748	1,750,000
NZB	Smartshares NZ Bond ETF	2.99124	102,761,618	90,000
NZC	Smartshares NZ Cash ETF	2.95049	111,453,712	410,000
OZY	Smartshares Australian Top 20 ETF	4.30811	37,881,267	-
TNZ	Smartshares NZ Top 10 ETF	2.17633	76,631,573	-
TWF	Smartshares Total World ETF	3.04495	67,837,555	1,950,000
USF	Smartshares US 500 ETF	11.97027	35,496,994	50,000
USG	Smartshares US Large Growth ETF	8.66058	23,073,866	15,000
USM	Smartshares US Mid Cap ETF	7.13205	13,947,745	-
USS	Smartshares US Small Cap ETF	6.56839	13,513,711	35,000
USV	Smartshares US Large Value ETF	4.05835	18,838,176	25,000
AGG	Smartshares Global Aggregate Bond ETF	1.31502	126,744,630	550,000
BOT	Smartshares Automation and Robotics ETF	4.93374	11,810,891	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.38099	15,972,676	-
ESG	Smartshares Global Equities ESG ETF	2.77801	6,910,216	-
EUG	Smartshares Europe Equities ESG ETF	2.81166	13,697,921	-
JPN	Smartshares Japan Equities ESG ETF	2.17307	11,854,840	-
LIV	Smartshares Healthcare Innovation ETF	3.40881	10,459,680	-
USA	Smartshares US Equities ESG ETF	3.09931	16,266,766	-
NZG	Smartshares S&P/NZX 50 ETF	2.81003	93,599,842	-
AUS	Smartshares S&P/ASX 200 ETF	3.07192	29,312,708	400,000
TWH	Smartshares Total World (NZD Hedged) ETF	3.42093	23,404,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.31683	39,101,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 21,000 unit holders and more than NZD \$5.3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

For further information please contact:

Smartshares Limited

0800 80 87 80

[smartshares@smartshares.co.nz](mailto:smartshares@smartshares.co.nz)