

Friday, 5 November 2021

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 4 November 2021.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.28033	56,930,726	(50,000)
ASD	Smartshares Australian Dividend ETF	1.60613	18,804,541	-
ASF	Smartshares Australian Financials ETF	8.04947	3,190,468	-
ASP	Smartshares Australian Property ETF	1.63065	33,922,531	(6,625,000)
ASR	Smartshares Australian Resources ETF	5.00818	8,257,633	-
DIV	Smartshares NZ Dividend ETF	1.32081	83,282,211	-
EMF	Smartshares Emerging Markets ETF	1.43377	109,524,155	15,000
EUF	Smartshares Europe ETF	1.97874	99,541,036	(3,600,000)
FNZ	Smartshares NZ Top 50 ETF	3.51110	207,940,182	(400,000)
GBF	Smartshares Global Bond ETF	3.31221	68,394,618	-
MDZ	Smartshares NZ Mid Cap ETF	6.55964	30,040,674	-
MZY	Smartshares Australian Mid Cap ETF	9.44359	17,732,274	-
NPF	Smartshares NZ Property ETF	1.47533	90,386,748	(2,500,000)
NZB	Smartshares NZ Bond ETF	2.99060	102,721,618	(250,000)
NZC	Smartshares NZ Cash ETF	2.95029	111,143,712	-
OZY	Smartshares Australian Top 20 ETF	4.31850	37,881,267	-
TNZ	Smartshares NZ Top 10 ETF	2.14372	76,631,573	-
TWF	Smartshares Total World ETF	3.03770	65,787,555	750,000
USF	Smartshares US 500 ETF	11.91471	35,416,994	(1,035,000)
USG	Smartshares US Large Growth ETF	8.57533	23,043,866	80,000
USM	Smartshares US Mid Cap ETF	7.08483	13,947,745	(850,000)
USS	Smartshares US Small Cap ETF	6.54702	13,478,711	(700,000)
USV	Smartshares US Large Value ETF	4.06489	18,783,176	15,000
AGG	Smartshares Global Aggregate Bond ETF	1.30898	124,644,630	-
BOT	Smartshares Automation and Robotics ETF	4.78342	11,830,891	20,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.36723	15,972,676	-
ESG	Smartshares Global Equities ESG ETF	2.76679	6,910,216	-
EUG	Smartshares Europe Equities ESG ETF	2.81475	13,697,921	(1,000,000)
JPN	Smartshares Japan Equities ESG ETF	2.16000	11,854,840	(100,000)
LIV	Smartshares Healthcare Innovation ETF	3.43978	10,459,680	-
USA	Smartshares US Equities ESG ETF	3.06277	16,266,766	(1,200,000)
NZG	Smartshares S&P/NZX 50 ETF	2.77874	93,599,842	(1,700,000)
AUS	Smartshares S&P/ASX 200 ETF	3.07772	29,012,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	3.40272	23,354,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.31375	38,701,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 21,000 unit holders and more than NZD \$5.3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

For further information please contact:

Smartshares Limited

0800 80 87 80

[smartshares@smartshares.co.nz](mailto:smartshares@smartshares.co.nz)