

These shareholder metrics represent the key operations of NZX's business.

## Core Markets

| NZX Listed Issuers  |  |             | Aug 2022 | Change YoY | Capital Listed and Raised                    |            |           | Aug 2022   | YTD | Change YoY |
|---|--|-------------|----------|------------|--|------------|-----------|------------|-----|------------|
| Total equity securities   |  | 133         |          | 0.0%       | Total capital listed and raised              |            | \$2,610 m | \$14,963 m |     | 66.7%      |
| Total fund securities   |  | 49          |          | 2.1%       | <b>New Capital Listed</b>                    |            |           |            |     |            |
| Total retail debt securities  |  | 145         |          | 4.3%       | Total capital listed by all issuers          |            | -         | \$4,776 m  |     | 33.4%      |
| Total wholesale debt securities   |  | 9           |          | 12.5%      | Equity listings                              |            | -         | \$59 m     |     | -93.3%     |
| Total other securities  |  | 3           |          | -25.0%     | Fund listings                                |            | -         | \$7 m      |     | -          |
| Total listed securities   |  | 339         |          | 2.1%       | Retail debt listings                         |            | -         | \$3,910 m  |     | 44.6%      |
|   |  |             |          |            | Wholesale debt listings                      |            | -         | \$800 m    |     | -          |
| Total NZX Main Board equity issuers   |  | 132         |          | 0.8%       | <b>Secondary Capital Raised</b>              |            |           |            |     |            |
| Total NZX Main Board fund issuers   |  | 49          |          | 2.1%       | Total capital raised by all issuers          |            | \$2,610 m | \$10,187 m |     | 88.9%      |
| Total NZX Debt Market retail debt issuers   |  | 48          |          | -4.0%      | Total equity raised - NZ issuers             |            | \$136 m   | \$2,252 m  |     | 71.8%      |
| Total NZX Debt Market wholesale debt issuers  |  | 2           |          | 0.0%       | Total equity raised - dual or foreign issuer |            | \$2,049 m | \$4,181 m  |     | 8967.1%    |
| Total other issuers   |  | 3           |          | -25.0%     | Total funds raised - NZ issuers              |            | \$173 m   | \$783 m    |     | -52.1%     |
| Total unique issuers  |  | 208         |          | 1.5%       | Total funds raised - dual or foreign issuer  |            | \$66 m    | \$442 m    |     | 7.5%       |
| <b>Market Capitalisation</b>  |  |             |          |            | Aug 2022                                     | Change YoY | % of GDP  |            |     |            |
| Total market capitalisation   |  | \$218,514 m |          | -5.8%      | 61.4%  |            |           |            |     |            |
| All equity  |  | \$161,218 m |          | -11.6%     | 45.3%  |            |           |            |     |            |
| All funds   |  | \$7,633 m   |          | 3.7%       | 2.1%   |            |           |            |     |            |
| All other   |  | \$3 m       |          | -91.2%     | 0.0%   |            |           |            |     |            |
| Total NZX Main Board  |  | \$168,854 m |          | -11.0%     | 47.5%  |            |           |            |     |            |
| Retail debt   |  | \$36,294 m  |          | 9.4%       | 10.2%  |            |           |            |     |            |
| Green bonds   |  | \$5,460 m   |          | 68.0%      | 1.5%   |            |           |            |     |            |
| Wholesale debt  |  | \$7,906 m   |          | 39.6%      | 2.2%   |            |           |            |     |            |
| Total NZX Debt Market   |  | \$49,660 m  |          | 18.0%      | 14.0%  |            |           |            |     |            |
| <b>Cash Market</b>  |  |             |          |            | Aug 2022                                     | Change YoY |           |            |     |            |
| Total value traded  |  | \$2,857 m   |          | -40.4%     |  |            |           |            |     |            |
| Auction Value Traded  |  | \$502 m     |          | -26.0%     |  |            |           |            |     |            |
| On-market value traded  |  | \$1,636 m   |          | -34.1%     |  |            |           |            |     |            |
| % of value on-market  |  | 57.3%       |          | 10.5%      |  |            |           |            |     |            |
| Total trades  |  | 790,016     |          | -38.3%     |  |            |           |            |     |            |
| Daily average value traded  |  | \$124 m     |          | -43.0%     |  |            |           |            |     |            |
| Daily average trades  |  | 34,349      |          | -40.9%     |  |            |           |            |     |            |
| <b>Total Equity Transactions</b>  |  |             |          |            | Aug 2022                                     | Change YoY |           |            |     |            |
| Total trades  |  | 784,739     |          | -38.5%     |  |            |           |            |     |            |
| Value traded  |  | \$2,637 m   |          | -43.4%     |  |            |           |            |     |            |
| % of value on-market  |  | 59.7%       |          | 14.5%      |  |            |           |            |     |            |
| Average on-market trade size  |  | \$2,012     |          | 5.3%       |  |            |           |            |     |            |
| <b>Total Debt Transactions</b>  |  |             |          |            | Aug 2022                                     | Change YoY |           |            |     |            |
| Total trades  |  | 5,277       |          | 35.9%      |  |            |           |            |     |            |
| Value traded  |  | \$220 m     |          | 64.0%      |  |            |           |            |     |            |
| <b>Cumulative Numbers - YTD</b>   |  |             |          |            | Aug 2022                                     | Change YoY |           |            |     |            |
| Number of trades  |  | 8,587,174   |          | -18.8%     |  |            |           |            |     |            |
| Total value traded  |  | \$26,126 m  |          | -27.5%     |  |            |           |            |     |            |
| % of value on-market  |  | 64.6%       |          | 4.8%       |  |            |           |            |     |            |
| <b>Clearing House / Depository</b>  |  |             |          |            | Aug 2022                                     | Change YoY |           |            |     |            |
| Gross value of cleared trades over month  |  | \$2,855 m   |          | -40.4%     |  |            |           |            |     |            |
| Assets under custody in depository  |  | \$6,187 m   |          | 8.2%       |  |            |           |            |     |            |
| Depository Uplifts  |  | 9,341       |          | -8.5%      |  |            |           |            |     |            |
| Settlement Lines  |  | 21,301      |          | 1.4%       |  |            |           |            |     |            |
| OTC Trades  |  | 6,952       |          | -8.8%      |  |            |           |            |     |            |
| <b>Derivatives</b>  |  |             |          |            | Aug 2022                                     | Change YoY |           |            |     |            |
| Futures - total lots traded   |  | 41,831      |          | 44.0%      |  |            |           |            |     |            |
| Options - total lots traded   |  | 3,533       |          | 196.9%     |  |            |           |            |     |            |
| Total derivatives lots traded   |  | 45,364      |          | 50.0%      |  |            |           |            |     |            |
| Total derivatives lots traded - YTD   |  | 291,792     |          | 45.2%      |  |            |           |            |     |            |
| Open interest   |  | 125,081     |          | 81.8%      |  |            |           |            |     |            |
| Notional value traded (\$USD)   |  | \$329 m     |          | 142.8%     |  |            |           |            |     |            |
| Options to futures ratio  |  | 8.5%        |          | 106.3%     |  |            |           |            |     |            |
| Negotiated Large Trade (NLT) %*   |  | 21.0%       |          | n/a        |  |            |           |            |     |            |
| *Following SGX-NZX partnership, block trades are now represented by SGX Negotiated Large Trades |  |             |          |            |  |            |           |            |     |            |
| <b>Energy and Environment Contracts</b>   |  |             |          |            | Aug 2022                                     | Change YoY |           |            |     |            |
| Operating / Technology contracts  |  | 18          |          | 0.0%       |  |            |           |            |     |            |
| - contracts ≥ \$500k p.a.   |  | 5           |          | 0.0%       |  |            |           |            |     |            |
| - contracts < \$500k p.a.   |  | 13          |          | 0.0%       |  |            |           |            |     |            |

| NZX Indices Performance                   |          |            | Wholesale Data                  |            |        |
|---|----------|------------|---------------------------------|------------|--------|
|   | Aug 2022 | Change YoY | Aug 2022                        | Change YoY |        |
| S&P/NZX 50 Index (Gross)                  | 11,601   | -12.2%     | Terminal numbers - professional | 6,905      | 5.1%   |
| S&P/NZX 50 Index (Capital)                | 4,675    | -14.4%     | Terminal numbers - retail       | 1,351      | -26.7% |
| S&P/NZX 50 Portfolio Index                | 7,521    | -10.7%     | Licences - value ≥ \$20k p.a.   | 62         | 8.8%   |
| S&P/NZX NZ Fixed Interest Composite Index | 115      | -8.1%      | Licences - value < \$20k p.a.   | 79         | -2.5%  |
| S&P/NZX A-Grade Bond Index                | 5,479    | -6.0%      |                                 |            |        |

| Direct Data Products                       |          |            | Dairy Data Subscriptions  |            |       |
|--|----------|------------|---------------------------|------------|-------|
|  | Aug 2022 | Change YoY | Aug 2022                  | Change YoY |       |
| Proprietary security subscription products | 307      | -0.6%      | Dairy data subscriptions  | 442        | -1.8% |
| - products ≥ \$3,000 p.a.                  | 105      | -4.5%      | - products ≥ \$1,500 p.a. | 105        | -2.8% |
| - products < \$3,000 p.a.                  | 202      | 1.5%       | - products < \$1,500 p.a. | 337        | -1.5% |

## Smartshares

|                                       | Aug 2022  | Change YoY |
|---------------------------------------|-----------|------------|
| Total external funds under management | \$8,034 m | 34.9%      |

| Scheme FUM  |           |            |
|---|-----------|------------|
|   | Aug 2022  | Change YoY |
| SuperLife Kiwisaver   | \$1,790 m | 29.3%      |
| Workplace Savings Master Trusts                                   | \$2,972 m | 105.0%     |
| Smartshares Exchange Traded Funds - External                      | \$2,420 m | 5.8%       |
| Smartshares Exchange Traded Funds - Total (external and internal) | \$5,742 m | 6.8%       |

| Other Metrics                  |          |            |
|--------------------------------|----------|------------|
|                                | Aug 2022 | Change YoY |
| Units on issue                 | 2,101 m  | 18.3%      |
| Value of stock lent over month | \$215 m  | -2.7%      |

## Wealth Technologies

|                            | Aug 2022   | Change YoY |
|----------------------------|------------|------------|
| Funds under administration | \$10,283 m | 27.7%      |

## Notes

- All figures are measured at the end of the last trading day of each month unless otherwise stated.  
Change refers to the change since the same period last year unless otherwise stated.
- Equity market capitalisation includes all securities quoted on the NZX Main Board (NZSX).  
With an overseas domiciled Issuer, the market capitalisation represents the amount that is held in New Zealand.
- Market capitalisation for the NZX Debt Market is the total nominal (face) value of all listed securities, excluding New Zealand Government stock.
- Funds include exchange traded funds, managed funds, listed investment companies and trusts.
- "Other" securities include rights, warrants and options quoted on the NZX Main Board (NZSX).
- Please note these operational statistics are based on NZX's internal records, which are unaudited.  
Differences may arise between this data and that disclosed in subsequent audited financial statements.  
Differences may also arise between this data and the previously reported data due to rounding and classification restatements.

To enquire about information listed on this sheet, or to find out more about NZX products or services, please email: [data@nzx.com](mailto:data@nzx.com)