

Thursday, 29 October 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 28 October 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.03658	53,210,726	25,000
ASD	Smartshares Australian Dividend ETF	1.43898	13,679,541	-
ASF	Smartshares Australian Financials ETF	5.72958	3,465,468	-
ASP	Smartshares Australian Property ETF	1.31781	36,672,531	-
ASR	Smartshares Australian Resources ETF	4.65990	5,032,633	-
DIV	Smartshares NZ Dividend ETF	1.22015	71,657,211	250,000
EMF	Smartshares Emerging Markets ETF	1.34386	90,874,155	-
EUF	Smartshares Europe ETF	1.54334	98,061,036	-
FNZ	Smartshares NZ Top 50 ETF	3.18606	237,151,179	-
GBF	Smartshares Global Bond ETF	3.35529	67,154,618	-
MDZ	Smartshares NZ Mid Cap ETF	5.94961	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	7.88434	18,857,274	-
NPF	Smartshares NZ Property ETF	1.50621	93,011,748	-
NZB	Smartshares NZ Bond ETF	3.18397	112,281,618	-
NZC	Smartshares NZ Cash ETF	2.95698	81,483,712	-
OZY	Smartshares Australian Top 20 ETF	3.48789	39,681,267	-
TNZ	Smartshares NZ Top 10 ETF	2.16058	72,881,573	-
TWF	Smartshares Total World ETF	2.43624	29,407,555	-
USF	Smartshares US 500 ETF	9.27426	29,956,994	-
USG	Smartshares US Large Growth ETF	6.73082	12,848,866	-
USM	Smartshares US Mid Cap ETF	5.39576	14,587,745	-
USS	Smartshares US Small Cap ETF	4.76708	14,428,711	-
USV	Smartshares US Large Value ETF	3.14218	23,943,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35967	35,944,630	-
BOT	Smartshares Automation and Robotics ETF	3.62407	5,785,891	40,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.23167	11,552,676	-
ESG	Smartshares Global Equities ESG ETF	2.19122	4,130,216	30,000
EUG	Smartshares Europe Equities ESG ETF	2.23933	12,912,921	50,000
JPN	Smartshares Japan Equities ESG ETF	1.95726	10,429,840	-
LIV	Smartshares Healthcare Innovation ETF	3.18392	5,529,680	60,000
USA	Smartshares US Equities ESG ETF	2.38170	14,776,766	30,000
NZG	Smartshares S&P/NZX 50 ETF	2.66453	12,599,842	200,000
AUS	Smartshares S&P/ASX 200 ETF	2.56804	4,812,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.61444	4,284,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.54521	9,001,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.9 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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