

Tuesday, 6 October 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 5 October 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.04645	52,765,726	-
ASD	Smartshares Australian Dividend ETF	1.44541	13,429,541	250,000
ASF	Smartshares Australian Financials ETF	5.59108	3,490,468	-
ASP	Smartshares Australian Property ETF	1.37229	36,297,531	-
ASR	Smartshares Australian Resources ETF	4.75677	4,957,633	-
DIV	Smartshares NZ Dividend ETF	1.17216	70,907,211	-
EMF	Smartshares Emerging Markets ETF	1.30828	89,874,155	-
EUF	Smartshares Europe ETF	1.60265	97,016,036	-
FNZ	Smartshares NZ Top 50 ETF	3.08320	236,351,179	-
GBF	Smartshares Global Bond ETF	3.34601	66,914,618	250,000
MDZ	Smartshares NZ Mid Cap ETF	5.76448	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	7.49870	18,932,274	-
NPF	Smartshares NZ Property ETF	1.44371	81,261,748	-
NZB	Smartshares NZ Bond ETF	3.17772	111,526,618	310,000
NZC	Smartshares NZ Cash ETF	2.95592	82,853,712	-
OZY	Smartshares Australian Top 20 ETF	3.48845	39,606,267	-
TNZ	Smartshares NZ Top 10 ETF	2.09972	72,131,573	250,000
TWF	Smartshares Total World ETF	2.44417	28,887,555	-
USF	Smartshares US 500 ETF	9.28069	29,731,994	5,000
USG	Smartshares US Large Growth ETF	6.66654	12,373,866	40,000
USM	Smartshares US Mid Cap ETF	5.35624	14,652,745	-
USS	Smartshares US Small Cap ETF	4.69277	14,473,711	-
USV	Smartshares US Large Value ETF	3.18294	23,828,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35688	35,404,630	170,000
BOT	Smartshares Automation and Robotics ETF	3.55508	5,420,891	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.17523	11,392,676	-
ESG	Smartshares Global Equities ESG ETF	2.20668	3,990,216	-
EUG	Smartshares Europe Equities ESG ETF	2.30404	12,522,921	-
JPN	Smartshares Japan Equities ESG ETF	1.97615	10,224,840	-
LIV	Smartshares Healthcare Innovation ETF	3.13981	4,944,680	-
USA	Smartshares US Equities ESG ETF	2.38127	14,606,766	-
NZG	Smartshares S&P/NZX 50 ETF	2.58549	11,599,842	-
AUS	Smartshares S&P/ASX 200 ETF	2.54260	4,212,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.60571	4,204,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.54470	7,101,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.7 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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