

18 June 2021

New members appointed to NZ Markets Disciplinary Tribunal

NZ RegCo is pleased to announce the appointment of John Dixon QC, Pip Dunphy, Dave Robertson and Rachael Newsome to the New Zealand Markets Disciplinary Tribunal for a three-year term. The Financial Markets Authority has confirmed their appointments.

NZ RegCo Chief Executive, Joost van Amelsfort, says the new members of the Tribunal add further depth of capital markets experience within the Tribunal. "NZ RegCo welcomes these appointments, adding to the calibre of the Tribunal membership in support of its critical adjudicative functions".

John Dixon QC is a barrister operating out of Shortland Chambers, with a practice including commercial litigation and regulatory law, in particular in the areas of competition, fair trading, and securities law. John has extensive experience as a trial and appellate advocate. He has appeared at all levels of courts in New Zealand. John holds a Bachelor of Commerce and Bachelor of Laws (Honours) from the University of Auckland and a Master of Laws from the University of Chicago Law School.

Pip Dunphy is a professional director. Her current industry exposure includes electricity transmission, funds management and telecommunications networks. Pip is currently Chair of Transpower New Zealand Limited, ANZ New Zealand Investments Limited, and Ultrafast Fibre Limited. She was previously Chair of listed issuer Abano Healthcare Group Limited. She holds a Bachelor of Horticulture Science from Lincoln College and a CFA (Chartered Financial Analyst) from the CFA Society USA.

Dave Robertson is the managing principal and head of Macquarie Securities in New Zealand. Dave has more than 30 years' experience in securities trading, investment banking and equity capital markets (ECM) transactions.

Rachael Newsome is the Chief Risk Officer and General Counsel at Jarden. Rachael has held a number of in-house and private practice legal roles in New Zealand and overseas, and is a Council member at the University of Auckland. Rachael holds a Bachelor of Commerce and Bachelor of Laws from Victoria University of Wellington.

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About the NZ Markets Disciplinary Tribunal

The NZMDT is a disciplinary body which is independent of NZX and its subsidiaries. The Financial Markets Authority confirms the appointment of its members. Under the NZMDT Rules, the NZMDT considers referrals made to it by NZX in relation to the conduct of parties regulated by the market rules and determines penalties in respect of breaches of those rules.

About NZ RegCo

NZ RegCo is an independently governed entity, responsible for monitoring and enforcing compliance by listed issuers and accredited market participants with NZX's market rules. To learn more about NZ RegCo please visit: www.nzregco.com