

Wednesday, 22 January 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 21 January 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.17283	57,837,000	-
ASD	Smartshares Australian Dividend ETF	1.74403	16,929,541	-
ASF	Smartshares Australian Financials ETF	7.36706	3,740,468	-
ASP	Smartshares Australian Property ETF	1.65805	28,422,531	-
ASR	Smartshares Australian Resources ETF	5.41490	6,907,633	-
DIV	Smartshares NZ Dividend ETF	1.35368	51,407,211	-
EMF	Smartshares Emerging Markets ETF	1.40447	94,337,000	-
EUF	Smartshares Europe ETF	1.79533	102,200,313	-
FNZ	Smartshares NZ Top 50 ETF	3.21665	226,451,179	-
GBF	Smartshares Global Bond ETF	3.27342	66,279,618	-
MDZ	Smartshares NZ Mid Cap ETF	6.15295	28,440,674	-
MZY	Smartshares Australian Mid Cap ETF	7.55111	16,757,274	-
NPF	Smartshares NZ Property ETF	1.51917	80,761,748	-
NZB	Smartshares NZ Bond ETF	3.08897	110,901,618	-
NZC	Smartshares NZ Cash ETF	2.97084	54,928,712	8,967,758
OZY	Smartshares Australian Top 20 ETF	4.06469	34,281,267	(75,000)
TNZ	Smartshares NZ Top 10 ETF	2.02237	65,131,573	-
TWF	Smartshares Total World ETF	2.52932	24,779,273	-
USF	Smartshares US 500 ETF	9.26559	30,516,001	(75,000)
USG	Smartshares US Large Growth ETF	5.71711	8,546,594	15,259
USM	Smartshares US Mid Cap ETF	5.54196	15,160,716	-
USS	Smartshares US Small Cap ETF	5.10888	13,937,732	-
USV	Smartshares US Large Value ETF	3.69547	21,727,500	-
AGG	Smartshares Global Aggregate Bond ETF	1.33997	24,069,575	-
BOT	Smartshares Automation and Robotics ETF	3.35191	2,816,948	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.25181	7,948,065	16,197
ESG	Smartshares Global Equities ESG ETF	2.22505	2,565,330	12,225
EUG	Smartshares Europe Equities ESG ETF	2.51979	6,440,261	-
JPN	Smartshares Japan Equities ESG ETF	2.02655	5,862,883	12,167
LIV	Smartshares Healthcare Innovation ETF	2.60045	1,818,097	28,628
USA	Smartshares US Equities ESG ETF	2.31023	8,572,717	12,139

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.4 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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