

Tuesday, 17 December 2019

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 16 December 2019.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.13634	56,077,000	-
ASD	Smartshares Australian Dividend ETF	1.71240	16,554,541	-
ASF	Smartshares Australian Financials ETF	7.28985	3,590,468	-
ASP	Smartshares Australian Property ETF	1.59739	27,297,531	-
ASR	Smartshares Australian Resources ETF	5.19572	6,832,633	-
DIV	Smartshares NZ Dividend ETF	1.27104	49,657,211	-
EMF	Smartshares Emerging Markets ETF	1.32378	94,077,000	-
EUF	Smartshares Europe ETF	1.75399	101,685,313	-
FNZ	Smartshares NZ Top 50 ETF	3.04792	221,651,179	200,000
GBF	Smartshares Global Bond ETF	3.26715	65,939,618	-
MDZ	Smartshares NZ Mid Cap ETF	5.76494	28,540,674	-
MZY	Smartshares Australian Mid Cap ETF	7.32884	16,682,274	-
NPF	Smartshares NZ Property ETF	1.45024	78,761,748	-
NZB	Smartshares NZ Bond ETF	3.08064	109,491,618	180,000
NZC	Smartshares NZ Cash ETF	2.96801	34,543,765	-
OZY	Smartshares Australian Top 20 ETF	3.94171	34,281,267	(150,000)
TNZ	Smartshares NZ Top 10 ETF	1.94281	63,881,573	250,000
TWF	Smartshares Total World ETF	2.42810	23,954,273	-
USF	Smartshares US 500 ETF	8.83104	30,261,001	15,000
USG	Smartshares US Large Growth ETF	5.31084	7,916,295	-
USM	Smartshares US Mid Cap ETF	5.28249	15,030,326	-
USS	Smartshares US Small Cap ETF	4.91124	13,802,327	-
USV	Smartshares US Large Value ETF	3.60335	21,542,500	-
AGG	Smartshares Global Aggregate Bond ETF	1.33986	23,210,525	809,389
BOT	Smartshares Automation and Robotics ETF	3.16677	2,473,851	16,132
EMG	Smartshares Emerging Markets Equities ESG ETF	2.11803	7,269,676	242,248
ESG	Smartshares Global Equities ESG ETF	2.13821	2,310,838	16,151
EUG	Smartshares Europe Equities ESG ETF	2.47110	5,931,705	201,808
JPN	Smartshares Japan Equities ESG ETF	2.02201	5,321,523	161,538
LIV	Smartshares Healthcare Innovation ETF	2.44873	1,490,054	20,215
USA	Smartshares US Equities ESG ETF	2.19206	7,935,022	250,222

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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