

Wednesday, 16 September 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 15 September 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.04364	52,375,726	-
ASD	Smartshares Australian Dividend ETF	1.46205	12,554,541	-
ASF	Smartshares Australian Financials ETF	5.49839	3,315,468	-
ASP	Smartshares Australian Property ETF	1.34281	36,297,531	-
ASR	Smartshares Australian Resources ETF	5.01573	4,807,633	-
DIV	Smartshares NZ Dividend ETF	1.14905	70,157,211	-
EMF	Smartshares Emerging Markets ETF	1.31280	88,403,914	(306,426)
EUF	Smartshares Europe ETF	1.62207	94,781,076	-
FNZ	Smartshares NZ Top 50 ETF	3.02142	235,751,179	-
GBF	Smartshares Global Bond ETF	3.34275	66,794,618	30,000
MDZ	Smartshares NZ Mid Cap ETF	5.67769	28,440,674	-
MZY	Smartshares Australian Mid Cap ETF	7.38476	18,932,274	-
NPF	Smartshares NZ Property ETF	1.42702	81,011,748	750,000
NZB	Smartshares NZ Bond ETF	3.17421	110,426,618	220,000
NZC	Smartshares NZ Cash ETF	2.95491	83,223,712	30,000
OZY	Smartshares Australian Top 20 ETF	3.49062	39,381,267	-
TNZ	Smartshares NZ Top 10 ETF	2.08377	70,131,573	250,000
TWF	Smartshares Total World ETF	2.44068	28,497,555	-
USF	Smartshares US 500 ETF	9.26524	29,096,969	-
USG	Smartshares US Large Growth ETF	6.57650	11,633,866	15,385
USM	Smartshares US Mid Cap ETF	5.23603	14,412,745	-
USS	Smartshares US Small Cap ETF	4.59508	14,143,711	-
USV	Smartshares US Large Value ETF	3.20478	23,303,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35991	34,074,838	-
BOT	Smartshares Automation and Robotics ETF	3.47278	5,218,639	21,412
EMG	Smartshares Emerging Markets Equities ESG ETF	2.16316	11,310,402	(12,274)
ESG	Smartshares Global Equities ESG ETF	2.20550	3,945,216	-
EUG	Smartshares Europe Equities ESG ETF	2.33939	12,227,921	(12,271)
JPN	Smartshares Japan Equities ESG ETF	1.96193	10,154,840	(16,360)
LIV	Smartshares Healthcare Innovation ETF	3.05631	4,774,680	29,548
USA	Smartshares US Equities ESG ETF	2.36934	14,346,766	(20,430)
NZG	Smartshares S&P/NZX 50 ETF	2.55835	10,499,842	-
AUS	Smartshares S&P/ASX 200 ETF	2.54031	3,512,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.62178	3,954,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.53601	7,101,427	200,000

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.7 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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