

Form 604Corporations Act 2001
Section 671B**Notice of change of interests of substantial holder**To Company Name/Scheme **CardieX Limited**ACN/ARSN **113 252 234****1. Details of substantial holder (1)**Name **Mr Paul Cozzi**

ACN/ARSN (if applicable)

There was a change in the interests of the
substantial holder on30/01/2020

The previous notice was given to the company on

23/08/2019

The previous notice was dated

23/08/2019**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
FPO shares	67,303,574	9.68%	97,615,392	12.96%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
30/01/2020	Paul Cozzi	Refer "Annexure A"	~\$831,202	30,311,818 Ordinary Shares	30,311,818

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Paul Cozzi	Paul Cozzi	Paul Cozzi	Registered holder of shares	97,615,392 FPO shares	97,615,392

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Paul Cozzi	PO Box 156 South Bexley NSW 2207

Signature

print name **Mr Paul Cozzi**

capacity

sign here

Paul Cozzi

date **31/01/2020**

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
 - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

CardieX Limited (ACN 113 252 234) Annexure A to Form 604 Notice of change of interest of substantial shareholder for Paul Cozzi:

Changes of Relevant Interests

Date of Change	Person whose relevant interest changed	Nature	Consideration Given	Class and Number of Securities Affected	Persons Votes Affected		
	CardieX Limited						
20/08/2019	("CDX")	On Market Buy	22,542	939239 FPO	939,239	68,242,813	9.81%
21/08/2019	CDX	On Market Buy	1,458	60761 FPO	60,761	68,303,574	9.82%
22/08/2019	CDX	On Market Buy	11,500	500000 FPO	500,000	68,803,574	9.89%
23/08/2019	CDX	On Market Buy	26,000	1000000 FPO	1,000,000	69,803,574	10.04%
26/08/2019	CDX	On Market Buy	12,500	500000 FPO	500,000	70,303,574	10.11%
27/08/2019	CDX	On Market Buy	28,350	1050000 FPO	1,050,000	71,353,574	10.26%
28/08/2019	CDX	On Market Buy	17,121	590366 FPO	590,366	71,943,940	10.34%
2/09/2019	CDX	On Market Buy	15,000	600000 FPO	600,000	72,543,940	10.43%
4/09/2019	CDX	On Market Buy	2,741	109634 FPO	109,634	72,653,574	10.45%
5/09/2019	CDX	On Market Buy	12,500	500000 FPO	500,000	73,153,574	10.52%
10/09/2019	CDX	On Market Buy	7,200	300000 FPO	300,000	73,453,574	10.56%
7/10/2019	CDX	On Market Buy	13,500	500000 FPO	500,000	73,953,574	10.63%
1/11/2019	CDX	On Market Sale	(20,160)	-720000 FPO -	720,000	73,233,574	10.53%
6/11/2019	CDX	On Market Buy	5,800	200000 FPO	200,000	73,433,574	10.56%
15/11/2019	CDX	On Market Sale	(29,000)	-1000000 FPO -	1,000,000	72,433,574	10.41%
20/11/2019	CDX	On Market Sale	(67,500)	-2500000 FPO -	2,500,000	69,933,574	10.06%
21/11/2019	CDX	On Market Sale	(14,500)	-500000 FPO -	500,000	69,433,574	9.69%
16/12/2019	CDX	On Market Sale	(5,400)	-200000 FPO -	200,000	69,233,574	9.66%
27/12/2019	CDX	On Market Buy	28,000	1000000 FPO	1,000,000	70,233,574	9.80%
30/12/2019	CDX	On Market Buy	28,000	1000000 FPO	1,000,000	71,233,574	9.46%
31/12/2019	CDX	On Market Buy	16,800	600000 FPO	600,000	71,833,574	9.54%
31/12/2019	CDX	Share Issue	509,091	18181818 FPO	18,181,818	90,015,392	11.95%
3/01/2020	CDX	On Market Buy	8,400	300000 FPO	300,000	90,315,392	11.99%
6/01/2020	CDX	On Market Buy	28,000	1000000 FPO	1,000,000	91,315,392	12.12%
10/01/2020	CDX	On Market Buy	43,500	1500000 FPO	1,500,000	92,815,392	12.32%
17/01/2020	CDX	On Market Buy	1,242	40080 FPO	40,080	92,855,472	12.33%
24/01/2020	CDX	On Market Buy	7,956	294671 FPO	294,671	93,150,143	12.37%
28/01/2020	CDX	On Market Buy	80,062	2965249 FPO	2,965,249	96,115,392	12.76%
29/01/2020	CDX	On Market Buy	27,912	1033782 FPO	1,033,782	97,149,174	12.90%
30/01/2020	CDX	On Market Buy	12,588	466218 FPO	466,218	97,615,392	12.96%

Jarrold White
Company Secretary
CardieX Limited
31/1/2020