

**FAX COVER SHEET**

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TO	Market Announcements Office
COMPANY	ASX
FAX NUMBER	61293470005
FROM	MirabellaLLP
DATE	2019-12-04 13:21:51 GMT
RE	CorporationsActdisclosureregime

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**COVER MESSAGE**

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Kindly find attached the Form 603 (Notice of initial substantial holder). If you have any questions, please send an email to: [charlenef@mirabella.co.uk](mailto:charlenef@mirabella.co.uk)

**Form 603**Corporations Act 2001  
Section 671B**Notice of initial substantial holder**To: Company Name/Scheme Ellerston Global Investments LimitedACN/ARSN 169 464 706**1. Details of substantial holder (1)**Name Mirabella Financial Services LLPACN/ARSN (if applicable) N/AThe holder became a substantial holder on 02/12/2019**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Fully paid ordinary shares ("Ordinary Shares")	5,301,402	5,301,402	5.03% based on 105,300,394 Issued Ordinary Shares

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Mirabella Financial Services LLP	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager	5,301,402 Ordinary Shares

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Mirabella Financial Services LLP	Credit Suisse Client Nominees (UK) Ltd	Mirabella Financial Services LLP	5,301,402 Ordinary Shares

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Mirabella Financial Services LLP	Please see Exhibit A			
		Please see Exhibit A		Please see Exhibit A

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Mirabella Financial Services LLP	130, Jermyn Street London, SW1Y 4UR United Kingdom

**Signature**

print name **Joe Vittoria** capacity **Member**  
 sign here  date **04/ 12 /2019**

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

# GUIDE

**This guide does not form part of the prescribed form and is included by ASIC to assist you in completing and lodging form 603.**

## Signature

This form must be signed by either a director or a secretary of the substantial holder.

## Lodging period

Nil

## Lodging Fee

Nil

## Other forms to be completed

Nil

## Additional information

- (a) If additional space is required to complete a question, the information may be included on a separate piece of paper annexed to the form.
- (b) This notice must be given to a listed company, or the responsible entity for a listed managed investment scheme. A copy of this notice must also be given to each relevant securities exchange.
- (c) The person must give a copy of this notice:
- (i) within 2 business days after they become aware of the information; or
  - (ii) by 9.30 am on the next trading day of the relevant securities exchange after they become aware of the information if:
    - (A) a takeover bid is made for voting shares in the company or voting interests in the scheme; and
    - (B) the person becomes aware of the information during the bid period.

## Annexures

To make any annexure conform to the regulations, you must

- 1 use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides
- 2 show the corporation name and ACN or ARBN
- 3 number the pages consecutively
- 4 print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied
- 5 identify the annexure with a mark such as A, B, C, etc
- 6 endorse the annexure with the words:  
*This is annexure (mark) of (number) pages referred to in form (form number and title)*
- 7 sign and date the annexure  
The annexure must be signed by the same person(s) who signed the form.

**Exhibit A****5. Consideration (continuation of page 1)**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-Cash	
Mirabella Financial Services LLP	09/08/2019	18800 AUD		20000 Ordinary Shares
Mirabella Financial Services LLP	09/09/2019	8873.15 AUD		9490 Ordinary Shares
Mirabella Financial Services LLP	10/09/2019	46750 AUD		50000 Ordinary Shares
Mirabella Financial Services LLP	13/09/2019	93917.28 AUD		99912 Ordinary Shares
Mirabella Financial Services LLP	17/09/2019	82389.82 AUD		87185 Ordinary Shares
Mirabella Financial Services LLP	18/10/2019	130915.68 AUD		139272 Ordinary Shares
Mirabella Financial Services LLP	21/10/2019	52416.28 AUD		55762 Ordinary Shares
Mirabella Financial Services LLP	22/10/2019	33165.08 AUD		35282 Ordinary Shares
Mirabella Financial Services LLP	24/10/2019	2518.42 AUD		2665 Ordinary Shares
Mirabella Financial Services LLP	25/10/2019	35437.5 AUD		37500 Ordinary Shares
Mirabella Financial Services LLP	28/10/2019	46930.95 AUD		49401 Ordinary Shares
Mirabella Financial Services LLP	08/11/2019	368527.77 AUD		332007 Ordinary Shares
Mirabella Financial Services LLP	11/11/2019	186677.58 AUD		168178 Ordinary Shares
Mirabella Financial Services LLP	12/11/2019	214634.04 AUD		193364 Ordinary Shares
Mirabella Financial Services LLP	13/11/2019	58996.5 AUD		53150 Ordinary Shares
Mirabella Financial Services LLP	14/11/2019	555000 AUD		500000 Ordinary Shares
Mirabella Financial Services LLP	15/11/2019	218049.86 AUD		196583 Ordinary Shares
Mirabella Financial Services LLP	18/11/2019	555000 AUD		500000 Ordinary Shares
Mirabella Financial Services LLP	19/11/2019	380573.49 AUD		342859 Ordinary Shares

Mirabella Financial Services LLP	21/11/2019	62740.59 AUD		56666 Ordinary Shares
Mirabella Financial Services LLP	22/11/2019	66576.71 AUD		60147 Ordinary Shares
Mirabella Financial Services LLP	25/11/2019	46033.52 AUD		41554 Ordinary Shares
Mirabella Financial Services LLP	26/11/2019	14669.76 AUD		13216 Ordinary Shares
Mirabella Financial Services LLP	27/11/2019	74000.37 AUD		66667 Ordinary Shares
Mirabella Financial Services LLP	29/11/2019	31538.09 AUD		28222 Ordinary Shares
Mirabella Financial Services LLP	02/12/2019	122864 AUD		109700 Ordinary Shares