

# Form 604

Corporations Law  
Section 671B

## Notice of change of interests of substantial holder

To: Company Name/Scheme **SIRTEX MEDICAL LIMITED**

ACN/ARSN **078 166 122**

### 1. Details of substantial holder

Name **UBS Group AG and its related bodies corporate**

ACN/ARSN (if applicable): \_\_\_\_\_

There was a change in the interests of the substantial holder on **2 August 2018**

The previous notice was given to the company on **25 July 2018**

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's Votes	Voting Power (5)	Person's Votes	Voting Power (5)
Ordinary	4,192,424	7.52%	3,463,005	6.21%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (6)	Consideration given in relation to change (7)	Class and Number of securities affected	Person's votes affected
Please see Appendix B.					

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
UBS Asset Management (Australia) Ltd	Various Custodians	UBS Asset Management (Australia) Ltd	Fund Manager with power to exercise control over voting shares	197,133 Ordinary	197,133
UBS Asset Management Trust Company	Various Custodians	UBS Asset Management Trust Company	Fund Manager with power to exercise control over voting shares	3,381 Ordinary	3,381
UBS Asset Management (UK) Limited	Various Custodians	UBS Asset Management (UK) Limited	Fund Manager with power to exercise control over voting shares	7,235 Ordinary	7,235

UBS Fund Management (Switzerland) AG	Various Custodians	UBS Fund Management (Switzerland) AG	Fund Manager with power to exercise control over voting shares	15,943 Ordinary	15,943
UBS AG London Branch	Various Custodians	UBS AG London Branch	Beneficial Owner	485 Ordinary	485
	Citicorp Nominees Pty Ltd	UBS AG London Branch	Prime Broker that has exercised its borrowing right in respect of shares pursuant to a Prime Broking Agreement (see Appendix A)	1,729 Ordinary	1,729
UBS Securities Australia Ltd	Brispot Nominees Pty Ltd	UBS Securities Australia Ltd	Beneficial Owner	3,237,099 Ordinary	3,237,099

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
N/A	N/A

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Details of all UBS offices can be found through the following link: <a href="http://apps2.ubs.com/locationfinder/">http://apps2.ubs.com/locationfinder/</a>	

## SIGNATURE

Print Name: Serene Peh

Capacity: Authorised signatory

Sign Here:



Date: 6 August 2018

Print Name: Joanne Chan

Capacity: Authorised signatory

Sign Here:



Date: 6 August 2018

Contact details for this notice:

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Serene Peh  
Compliance & Operational Risk Control  
(T) +65 6495 5283  
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<b>Holder of relevant interest</b>	<b>UBS AG, London Branch</b>
<b>Type of agreement</b>	Prime Brokerage Agreement
<b>Parties to agreement</b>	(i) UBS AG, London Branch ("UBS AG")  (ii) Client (Please refer to Appendix A-1.)
<b>Transfer date</b>	Please refer to Appendix A-1.
<b>Holder of voting rights</b>	UBS AG
<b>Are there any restrictions on voting rights?</b>	No.
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	None.
<b>Does the borrower have the right to return early?</b>	Yes.
If yes, detail	The borrower (i.e. UBS AG) has the right to return at its discretion.
<b>Does the lender have the right to recall early?</b>	Yes.
If yes, detail	The lender (i.e. Client) can recall at its request subject to compliance with margin requirements and the terms of the relevant loan.
<b>Will the securities be returned on settlement?</b>	Yes.
If yes, detail any exceptions	None.

**Prime Brokerage Agreement - UBS AG, London Branch**

Parties to agreement	Transfer date
Menta GLS Master, L.P.	(i) 29 May 2018
	(ii) 31 May 2018
	(iii) 4 June 2018

SRX -Appendix B					
Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities	Class
24-Jul-18	UBS Securities Australia Ltd	Buy	32	1	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	6,735	(210)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	6,479	(202)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	178,877	(5,580)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Buy	84,736	2,642	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	77,383	(2,414)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Buy	226,191	7,051	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	6,923	(216)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Buy	802	25	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	2,246	(70)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	13,524	(422)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	6,730	(210)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	12,530	(391)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	30,742	(959)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	10,320	(322)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	1,123	(35)	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Buy	15,874	495	Ordinary
24-Jul-18	UBS Securities Australia Ltd	Sell	16,662	(520)	Ordinary
24-Jul-18	UBS AG London Branch	Stock returned	N/A	(4,777)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Buy	32	1	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Buy	519,527	16,205	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Buy	161,667	5,043	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	55,027	(1,718)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	281,080	(8,770)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	114,222	(3,566)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	173,417	(5,411)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	1,539	(48)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Buy	1,664	52	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Buy	1,795	56	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	6,000	(187)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	97,742	(3,050)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	3,657	(114)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	140,440	(4,382)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	13,495	(421)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	27,864	(869)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	309,612	(9,660)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Buy	200,138	6,243	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	4,800	(150)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	22,768	(711)	Ordinary
25-Jul-18	UBS Securities Australia Ltd	Sell	10,183	(318)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	32	(1)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	609	(19)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	1,442	(45)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	2,499	(78)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	10,830	(338)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Buy	32	1	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Buy	21,460	670	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	32	(1)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	176,315	(5,503)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Buy	532,865	16,622	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	556,093	(17,349)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	113,256	(3,533)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	55,815	(1,742)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Buy	112,061	3,496	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	19,798	618	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	19,126	(597)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Buy	481	15	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	1,318,972	(41,169)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	56,128	(1,751)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	13,942	(435)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	38,649	(1,206)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	19,195	(599)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	7,048	(220)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	47,368	(1,478)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Buy	487,455	15,211	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Buy	13,787	430	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	20,726	(647)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	1,922	(60)	Ordinary

26-Jul-18	UBS Securities Australia Ltd	Sell	27,328	(853)	Ordinary
26-Jul-18	UBS Securities Australia Ltd	Sell	13,999	(437)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Buy	85,456	2,668	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Buy	27,008	844	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Buy	215,282	6,718	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Buy	900,996	28,105	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	32	(1)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	17,452	(544)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	720,036	(22,487)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	260,515	(8,136)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Buy	2,051	64	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Buy	7,721	241	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	1,027	(32)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	11,042	(345)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	25,077	(782)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	15,012	(469)	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Buy	16,801	525	Ordinary
27-Jul-18	UBS Securities Australia Ltd	Sell	201,533	(6,292)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Buy	96	3	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Buy	26,374	826	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	96	(3)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	926	(29)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	91,617	(2,874)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	21,941	(689)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Buy	186,834	5,858	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	36,523	(1,146)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Buy	39,914	1,252	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	96,368	(3,021)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Buy	2,298	72	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	5,843	(183)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Buy	957	30	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Buy	6,299	197	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	96	(3)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	13,068	(409)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	84,657	(2,653)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	117,103	(3,667)	Ordinary
30-Jul-18	UBS Securities Australia Ltd	Sell	286	(9)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Buy	76,137	2,398	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Buy	128	4	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Buy	3,087	97	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	2,897	(91)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	3,407	(107)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	345,327	(10,864)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Buy	181,681	5,717	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	59,800	(1,881)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Buy	111,523	3,508	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	670,225	(21,086)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Buy	170,048	5,350	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	6,772	(213)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	34,940	(1,098)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	84,607	(2,660)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	145,014	(4,559)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	33,713	(1,061)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	42,259	(1,331)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	55,801	(1,754)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	25,016	(787)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	1,277	(40)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	52,910	(1,664)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	1,018	(32)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Sell	2,800	(88)	Ordinary
31-Jul-18	UBS Securities Australia Ltd	Buy	36,292	1,141	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	115,256	(3,626)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	11,738,102	(370,000)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	223	(7)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	319	(10)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	510	(16)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	764	(24)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Buy	26,595	835	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Buy	5,376	168	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	1,465	(46)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Buy	350	11	Ordinary

01-Aug-18	UBS Securities Australia Ltd	Buy	135,539	4,269	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Buy	100,551	3,164	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	39,779	(1,251)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	29,932	(940)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	12,697	(399)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	13,856	(433)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	7,015	(220)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	1,016	(32)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	1,905	(60)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	5,914	(186)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	6,989	(220)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Sell	5,623	(177)	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Buy	7,277	229	Ordinary
01-Aug-18	UBS Securities Australia Ltd	Buy	445	14	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	32	(1)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	768	(24)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	32	(1)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	64	2	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	2,077	65	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	3,611	113	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	8,532	267	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	8,532	267	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	11,472	359	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	26,524	830	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	32	1	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	1,659	(52)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	2,721,197	(85,018)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	19,120	(597)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	16,109	(503)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	87,099	2,725	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	147,325	(4,609)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	173,859	5,434	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	267,848	(8,380)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	4,480	(140)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	2,398	75	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	7,043	(220)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	383,468	12,000	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	5,685,996	(178,387)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	82,043	2,569	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	49,000	1,531	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	19,170	600	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	4,802	(150)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	69,986	(2,191)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	7,861	(246)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	230,788	(7,230)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	18,615	(582)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	78,722	(2,464)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	19,754	(618)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	8,609	(269)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	12,426	(388)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	17,072	534	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	19,022	595	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Sell	11,738,102	(370,000)	Ordinary
02-Aug-18	UBS Securities Australia Ltd	Buy	11,738,102	370,000	Ordinary