

21 April 2023

Ms. Angel He  
Advisor  
Listings Compliance  
ASX Limited  
20 Bridge Street  
Sydney NSW 2000

Dear Ms. He

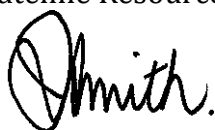
### **Dateline Resources Limited – Appendix 3Y – Late Lodgement Notice**

In relation to the late lodgement of the Appendix 3Ys for Mr Ferguson and Mr Baghdadi, Dateline Resources Limited (**ASX: DTR** or the **Company**) advises as follows:

1. The Appendix 3Ys were lodged late due to an inadvertent administrative oversight. As soon as the oversight was identified, the Appendix 3Ys were lodged with the ASX.
2. The Company and the Directors are aware of their obligations under Listing Rules 3.19A and 3.19B to provide the necessary information to the Company to meet its disclosure requirement; and
3. The Company considers the late lodgement of the Appendix 3Ys to be an isolated incident and believes that its current disclosure arrangements are adequate and are being enforced to ensure compliance with the ASX Listing Rules

This announcement was authorised for release to the ASX by Mr Mark Johnson, Chairman of the Company.

Yours Sincerely  
Dateline Resources Limited



John Smith  
Company Secretary



21 April 2023

Reference: 72654

Mr John Smith  
CFO and Company Secretary  
Dateline Resources Limited  
Level 29  
2 Chifley Square  
Sydney NSW 2000

By email.

Dear Mr Smith

**Dateline Resources Limited ('DTR'): Appendix 3Y – Change of Director's Interest Notice Query**

ASX refers to the following:

1. DTR's Appendix 3Ys lodged on the ASX Market Announcements Platform ('MAP') on 20 April 2023 for Mr Anthony Ferguson and Mr Stephen Baghdadi (the 'Notices');
2. Listing Rule 3.19A which requires an entity to tell ASX the following:

3.19A.2            *A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) including whether the change occurred during a closed period where prior written clearance was required and, if so, whether prior written clearance was provided. The entity must complete Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.*
3. Listing rule 3.19B which states that:

*'An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.'*

The Notice indicates that a change in Mr Ferguson's and Mr Baghdadi's notifiable interest occurred on 11 April 2023. It appears that the Notices should have been lodged with ASX by 18 April 2023. Consequently, DTR may have breached Listing Rules 3.19A and/or 3.19B.

**Request for Information**

Under Listing Rule 18.7, we ask that you answer each of the following questions having regard to Listing Rules 3.19A and 3.19B and *Guidance Note 22: Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities*.

1. Please explain why the Notices were lodged late.
2. What arrangements does DTR have in place under Listing Rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under Listing Rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does DTR intend to take to ensure compliance with Listing Rule 3.19B?

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### When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9 AM AEST Wednesday, 26 April 2023**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, DTR's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require DTR to request a trading halt immediately.

Your response should be sent to me by e-mail at [ListingsComplianceSydney@asx.com.au](mailto:ListingsComplianceSydney@asx.com.au). It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### Trading halt

If you are unable to respond to this letter by the time specified above, you should discuss with us whether it is appropriate to request a trading halt in DTR's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in DTR's securities under Listing Rule 17.3.

### Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to DTR's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that DTR's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### Release of correspondence between ASX and entity

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

### Questions

If you have any questions in relation to the above, please do not hesitate to contact me.

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Yours sincerely

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**Angel He**  
Adviser, Listings Compliance