

# ASX Announcement



28 November 2023

## CHANGE OF DIRECTOR'S INTEREST NOTICE

QANTM Intellectual Property Limited (QANTM), provides an Appendix 3H - Notification of cessation of securities (Appendix 3H) and an Appendix 3Y Change of Director's Interest Notice (Appendix 3Y) for Craig Dower relating to the cessation of FY21 performance rights on 15 August 2023 as a result of non-achievement of vesting conditions.

The Company advises the following in relation to the late lodgement of this document as it relates to the cessation of the FY21 performance rights:

- The cessation of the FY21 performance rights occurred on 15 August 2023. The Company failed to disclose the cessation via an Appendix 3H and an Appendix 3Y at that time due to an administrative oversight. The Company arranged for the Appendix 3H and Appendix 3Y to be lodged with ASX as soon as practicable upon becoming aware of the oversight.
- The Company and its Directors are aware of their obligations under Listing Rules 3.10.3A, 3.19A and 3.19B and have procedures in place in accordance with the Company's Continuous Disclosure Policy to meet its disclosure obligations.
- The Company believes its current practices are adequate to ensure compliance with the Listing Rules, including Listing Rule 3.19B, and that failure to do so on these occasions was due to an administrative oversight. However, the Company intends to further review its procedures to identify and implement any potential improvements.

**This announcement has been authorised by the CEO and Managing Director for release through the ASX Market Announcements Platform.**

### For further information

#### Investors and Media

Craig Dower  
CEO and Managing Director

**T:** +61 3 9254 2666

**E:** [investor.relations@qantmip.com](mailto:investor.relations@qantmip.com)

**W:** [www.qantmip.com](http://www.qantmip.com)

#### Company Secretary

Krista Stewart  
General Counsel and Company Secretary

### About QANTM Intellectual Property

QANTM Intellectual Property Limited (QANTM, ASX: QIP) is the owner of a group of leading intellectual property (IP) services businesses operating in Australia, New Zealand, Singapore, Malaysia and Hong Kong under key brands Davies Collison Cave, DCC Advanz Malaysia, Davies Collison Cave Law, FPA Patent Attorneys, and Sortify.tm Ltd (including Sortify's brands – DIY Trademarks, Trademarks Online and Trademark Planet). With more than 150 highly qualified professionals, the businesses within the QANTM Group have a strong track record in providing a comprehensive suite of services across the IP value chain to a broad range of Australian and international clients, ranging from start-up technology businesses to Fortune 500 multinationals, public research institutions and universities.

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

|                       |  |
|-----------------------|--|
| <b>Name of entity</b> | <b>QANTM Intellectual Property Limited</b> |
| <b>ABN</b>            | <b>43 612 441 326</b>                      |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                |
|----------------------------|----------------|
| <b>Name of Director</b>    | Mr Craig Dower |
| <b>Date of last notice</b> | 9 August 2023  |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|  |   |
|--|---|
| <b>Direct or indirect interest</b>   | Direct  |
| <b>Nature of indirect interest (including registered holder)</b><br>Note: Provide details of the circumstances giving rise to the relevant interest. | N/A   |
| <b>Date of change</b>  | 15 August 2023  |
| <b>No. of securities held prior to change</b>  | <ul style="list-style-type: none"> <li>• 295,050 Ordinary Fully Paid Shares</li> <li>• 285,087 FY21 Performance Rights</li> <li>• 285,087 FY22 Performance Rights</li> <li>• 350,000 FY23 Performance Rights</li> </ul> |
| <b>Class</b>   | <ul style="list-style-type: none"> <li>• Performance Rights</li> </ul>  |
| <b>Number acquired</b>   | NIL   |
| <b>Number disposed</b>   | <ul style="list-style-type: none"> <li>• 285,087 FY21 Performance Rights</li> </ul>   |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation  | NIL   |

+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

|  |  |
|--|--|
| <b>No. of securities held after change</b>   | <ul style="list-style-type: none"> <li>• 295,050 Ordinary Fully Paid Shares</li> <li>• 285,087 FY22 Performance Rights</li> <li>• 350,000 FY23 Performance Rights</li> </ul> |
| <b>Nature of change</b><br><small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small> | The FY21 Performance Rights lapsed on 15 August 2023.  |

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |     |
|---|-----|
| <b>Detail of contract</b>   | N/A |
| <b>Nature of interest</b>   | N/A |
| <b>Name of registered holder (if issued securities)</b>   | N/A |
| <b>Date of change</b>   | N/A |
| <b>No. and class of securities to which interest related prior to change</b><br><small>Note: Details are only required for a contract in relation to which the interest has changed</small> | N/A |
| <b>Interest acquired</b>  | N/A |
| <b>Interest disposed</b>  | N/A |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and an estimated valuation</small>   | N/A |
| <b>Interest after change</b>  | N/A |

### Part 3 – <sup>+</sup>Closed period

|   |     |
|---|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b> | No  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>  | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>   | N/A |

<sup>+</sup> See chapter 19 for defined terms.