

16 August 2023

Mr Dean Litis  
Principal Adviser, Listings Compliance (Melbourne)  
ASX Securities Exchange Limited

By e-mail

Dear Mr. Litis,

**RE: OAKridge International Limited ('OAK'): Price Query**

Thank you for your letter dated 15 August 2023 regarding an increase in the Company's share price from a low of \$0.095 on 10 August to a high of \$0.165 on 15 August 2023.

OAK responds as follows:

- 1. Is OAK aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?**

No.

- 2. If the answer to question 1 is "yes".**

Not applicable.

- 3. If the answer to question 1 is "no", is there any explanation that the Entity may have for the recent trading in its securities?**

OAK is not aware of any information that would explain the recent price increase.

- 4. Please confirm that the entity is in compliance with the Listing Rules and, in particular, Listing Rule 3.1.**

OAK confirms that it is in compliance with the ASX Listing Rules, including ASX Listing Rule 3.1.

- 5. Please confirm that OAK's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of OAK with delegated authority from the board to respond to ASX on disclosure matters.**

The company confirms that the above responses have been authorized and approved in accordance with its published continuous disclosure policy and by the Chair of the Board.

Yours sincerely



Julie Edwards  
Company Secretary



15 August 2023

Reference: ODIN78107

Ms Julie Edwards  
Company Secretary  
Oakridge International Limited  
ODIN

By email

Dear Ms Edwards

### **Oakridge International Limited ('OAK'): Price Query**

ASX refers to the change in the price of OAK's securities from a low of \$0.095 on 10 August 2023 to a high of \$0.165 at the time of writing today.

### **Request for information**

In light of this, ASX asks OAK to respond separately to each of the following questions and requests for information:

1. Is OAK aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is OAK relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in OAK's securities would suggest to ASX that such information may have ceased to be confidential and therefore OAK may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that OAK may have for the recent trading in its securities?
4. Please confirm that OAK is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that OAK's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of OAK with delegated authority from the board to respond to ASX on disclosure matters.

### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9:30 am AEST on Wednesday 16 August 2023**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, OAK's obligation is to disclose the

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information ‘immediately’. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require OAK to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsComplianceMelbourne@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is “yes” and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in OAK’s securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in OAK’s securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to OAK’s obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that OAK’s obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.



**Questions**

If you have any questions in relation to the above, please do not hesitate to contact me.

Yours sincerely

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**Dean Litis**

Principal Adviser, Listings Compliance (Melbourne)