



**ASX/Media Release**

31 January 2019

## **Update of Director Interest Notice and Substantial Holder Notice**

---

Cougar Metals NL (**Cougar** or **the Company**) (ASX: CGM) provides the following updated Director Interest Notice and Substantial Holder Notice in respect of Chairman, Mr Randal Swick's shareholding.

These notices are updated to include shares acquired by Marcia Swick (spouse) through an underwriting agreement in respect of an entitlements issue that was previously announced to the ASX on 15 May 2013, as well as to include an associated shareholding, Savvy Capital Management Pty Ltd, that was previously excluded due to an administrative error dating back a number of years.

The Company notes that it has been correctly reporting the total number of securities held by Mr Swick, including the shares held by Savvy Capital Management Pty Ltd, in its Annual Reports to Shareholders.

The Company believes that the processes and procedures it has in place to make disclosure under Listing Rule 3.19A are sufficient and being enforced, and that the errors in this case were due to administrative oversight.

Yours Sincerely,

**BRETT TUCKER**  
**Company Secretary**

## Appendix 3Y

### Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	Cougar Metals NL
<b>ACN</b>	100 684 053

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mr Randal Swick
<b>Date of last notice</b>	6 July 2011

#### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct & Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Marcia Swick – wife  Savvy Capital Management Pty Ltd <Savvy Family A/c> Mr Swick is a beneficiary of the Savvy Family trust
<b>Date of change</b>	Various – refer below
<b>No. of securities held prior to change</b>	<u>Indirect</u> 750,000 FPO shares Randal Swick ATF Swick Family Super Fund A/C  80,250,000 FPO shares Marcia Swick
<b>Class</b>	FPO shares

+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

<b>Number acquired</b>	<u>Marcia Swick</u> 195,000,000 FPO shares acquired on 14/05/13 through underwriting of entitlements issue  <u>Savvy Capital Management Pty Ltd &lt;Savvy Family A/c&gt;</u> 138,366,224 FPO shares
<b>Number disposed</b>	Nil
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	<u>Marcia Swick</u> 195,000,000 FPO shares acquired for \$1,950,000  <u>Savvy Capital Management Pty Ltd &lt;Savvy Family A/c&gt;</u> Various share purchases and sales between 14/02/18 to 04/08/11 Final balance is recognised as a notifiable interest due to beneficial relationship
<b>No. of securities held after change</b>	750,000 FPO shares Randal Swick ATF Swick Family Super Fund A/C  275,250,000 FPO shares Marcia Swick  138,366,224 FPO shares Savvy Capital Management Pty Ltd <Savvy Family A/c>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Non-renounceable entitlements issue

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

---

<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A
<b>Interest after change</b>	N/A

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

---

<sup>+</sup> See chapter 19 for defined terms.

Corporations Act 2001  
Section 671B

<u>To</u> Company Name/Scheme	Cougar Metals NL
-------------------------------	------------------

ACN/ARSN 27 100 684 053

Name MARCIA SWICK

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on 14/05/2013

The previous notice was given  
to the company on 16/05/2012

The previous notice was dated 11/05/2012

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ORD FULLY PAID	81,000,000	17.52%	414,366,224	42.38%

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
14/05/2013	Marcia Swick	Subscription in underwritten entitlements offer	\$1,950,000	Fully paid ordinary shares 195,000,000	195,000,000

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Marcia Swick	Marcia Swick	Marcia Swick	Registered holder	Fully paid ordinary shares 275,250,000	275,250,000
Savvy Capital Management Pty Ltd <Savvy Family A/C>	Savvy Capital Management Pty Ltd <Savvy Family A/C>	Savvy Capital Management Pty Ltd <Savvy Family A/C>	This company is controlled by Marcia and Randal Swick, who are also beneficiaries of the trust	Fully paid ordinary shares 138,366,224	138,366,224
Randal Swick	Randal Swick <Swick Family Super Fund>	Randal Swick <Swick Family Super Fund>	Randal Swick is the spouse of Marcia Swick	Fully paid ordinary shares 750,000	750,000

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Savvy Capital Management Pty Ltd <Savvy Family A/C>	Randal Swick is a trustee and beneficiary of the Savvy Family Super Fund A/C

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Randal Lloyd Swick	C/- 16 Ord St, West Perth WA 6005
Marcia Swick	C/- 16 Ord St, West Perth WA 6005

## Signature

print name      Marcia Swick      capacity Self

**sign here**

**date**

---

### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.