

# Form 604

Corporations Act 2001

Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme New Century Resources Limited  
ACN/ARSN ACN 142 165 080

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)  
ACN/ARSN (if applicable) 008 496 713

There was a change in the interests of the substantial holder on 18-Sep-2019  
The previous notice was given to the company on 14-Aug-2019  
The previous notice was dated 14-Aug-2019

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	59,923,243	10.34%	94,969,707	14.93%

### 3. Changes in relevant interests

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
9-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	1,816.44 AUD	-6,372 Common Stock	-6,372
9-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	16,114.03 AUD	53,571 Common Stock	53,571
13-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	6,000.0 AUD	20,000 Common Stock	20,000
14-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	1,851.88 AUD	6,056 Common Stock	6,056
14-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	15,831.04 AUD	51,724 Common Stock	51,724
15-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	1,756.24 AUD	-6,056 Common Stock	-6,056
15-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	5,800.0 AUD	20,000 Common Stock	20,000
19-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	51,448.21 AUD	-179,528 Common Stock	-179,528
19-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	11,800.0 AUD	-40,000 Common Stock	-40,000

20-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	72,727.70 AUD	-263,833 Common Stock	-263,833
20-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	5,696.08 AUD	21,908 Common Stock	21,908
21-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	30,185.07 AUD	-108,571 Common Stock	-108,571
21-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	49,045.51 AUD	172,996 Common Stock	172,996
22-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	9,814.96 AUD	-36,074 Common Stock	-36,074
22-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	84,755.55 AUD	290,932 Common Stock	290,932
22-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	8,372.88 AUD	28,872 Common Stock	28,872
23-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	15,992.18 AUD	-56,603 Common Stock	-56,603
26-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	17,751.64 AUD	-60,230 Common Stock	-60,230
26-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	30,254.71 AUD	-101,323 Common Stock	-101,323
26-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	11,915.92 AUD	-40,283 Common Stock	-40,283
27-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	71,241.67 AUD	-231,395 Common Stock	-231,395
27-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	17,763.37 AUD	61,284 Common Stock	61,284
28-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	39,357.22 AUD	-137,129 Common Stock	-137,129
28-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	3,796.39 AUD	13,091 Common Stock	13,091
28-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	29,521.53 AUD	101,680 Common Stock	101,680
29-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	6,703.03 AUD	-23,003 Common Stock	-23,003
29-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	5,350.0 AUD	18,000 Common Stock	18,000
29-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	55,610.26 AUD	189,505 Common Stock	189,505
30-Aug-19	Credit Suisse Equities (Australia) Limited	Disposed on market	5,682.84 AUD	-19,596 Common Stock	-19,596
30-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	44,927.12 AUD	152,565 Common Stock	152,565
30-Aug-19	Credit Suisse Equities (Australia) Limited	Acquired on market	4,425.0 AUD	15,000 Common Stock	15,000
2-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	2,572.40 AUD	-8,720 Common Stock	-8,720
2-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	14,534.33 AUD	50,102 Common Stock	50,102

3-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	3,776.59 AUD	-12,802 Common Stock	-12,802
3-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	5,220.0 AUD	-18,000 Common Stock	-18,000
3-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	4,745.82 AUD	16,652 Common Stock	16,652
4-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	8,698.05 AUD	-30,157 Common Stock	-30,157
4-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	7,047.61 AUD	24,960 Common Stock	24,960
4-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	58,055.71 AUD	206,842 Common Stock	206,842
5-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	4,006.82 AUD	-14,059 Common Stock	-14,059
5-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	14,979.17 AUD	-52,631 Common Stock	-52,631
5-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	4,350.0 AUD	-15,000 Common Stock	-15,000
5-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	39,638.97 AUD	135,169 Common Stock	135,169
5-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	9,590.75 AUD	32,771 Common Stock	32,771
6-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	16,698.64 AUD	-59,712 Common Stock	-59,712
6-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	11,075.0 AUD	37,000 Common Stock	37,000
6-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	36,575.96 AUD	124,502 Common Stock	124,502
9-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	3,345.15 AUD	-11,535 Common Stock	-11,535
9-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	15,539.60 AUD	-53,571 Common Stock	-53,571
9-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	44,199.56 AUD	149,688 Common Stock	149,688
9-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	14,519.87 AUD	49,982 Common Stock	49,982
9-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	40,718.54 AUD	136,285 Common Stock	136,285
9-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	657.06 AUD	2,191 Common Stock	2,191
10-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	12,431.52 AUD	39,984 Common Stock	39,984
11-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	17,214.69 AUD	-58,982 Common Stock	-58,982
11-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	3,045.49 AUD	9,091 Common Stock	9,091
11-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	3,884.84 AUD	11,426 Common Stock	11,426

12-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	901.64 AUD	-2,800 Common Stock	-2,800
12-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	47,418.85 AUD	144,032 Common Stock	144,032
12-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	1,257.60 AUD	3,930 Common Stock	3,930
12-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	5,471.66 AUD	16,675 Common Stock	16,675
13-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	3,120.39 AUD	-9,318 Common Stock	-9,318
13-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	5,376.02 AUD	-16,675 Common Stock	-16,675
13-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	13,650.67 AUD	-41,967 Common Stock	-41,967
13-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	40,045.72 AUD	122,428 Common Stock	122,428
16-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	2,782.35 AUD	-8,500 Common Stock	-8,500
16-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	19,135.05 AUD	-57,985 Common Stock	-57,985
16-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	20,684.45 AUD	-63,241 Common Stock	-63,241
16-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	12,909.52 AUD	-38,805 Common Stock	-38,805
16-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	4,299.68 AUD	-12,930 Common Stock	-12,930
16-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	1,937.92 AUD	6,056 Common Stock	6,056
16-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	9,464.65 AUD	28,999 Common Stock	28,999
17-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	19,009.27 AUD	-59,049 Common Stock	-59,049
17-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	4,195.29 AUD	-12,713 Common Stock	-12,713
18-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	10,739.63 AUD	-33,166 Common Stock	-33,166
18-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	3,400.98 AUD	-10,306 Common Stock	-10,306
18-Sep-19	Credit Suisse Equities (Australia) Limited	Placement Shares	3,198,752.37	9,693,189 Common Stock	9,693,189
18-Sep-19	Credit Suisse Equities (Australia) Limited	Placement Shares	8,530,006.32	25,848,504 Common Stock	25,848,504
12-Aug-19	Credit Suisse Securities (Europe) Limited	Stock borrowed under Master prime brokerage agreement	Refer to Annexure A of Notice	1,551,000 Common Stock	1,551,000
13-Aug-19	Credit Suisse Securities (Europe) Limited	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-1,551,000 Common Stock	-1,551,000

13-Aug-19	Credit Suisse Securities (Europe) Limited	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-286,468 Common Stock	-286,468
13-Aug-19	Credit Suisse Securities (Europe) Limited	Stock borrowed under Master prime brokerage agreement	Refer to Annexure A of Notice	1,837,468 Common Stock	1,837,468
17-Sep-19	Credit Suisse Securities (Europe) Limited	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-762,090 Common Stock	-762,090
17-Sep-19	Credit Suisse Securities (Europe) Limited	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-1,837,468 Common Stock	-1,837,468

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	Voting rights and right to dispose	94,969,707 Common Stock	94,969,707

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square, London, E14 4QJ

---

## Signature

print name Winston Loke

capacity Authorised Signatory

**sign here**

date 20-Sep-2019



---

Annexure "A"

This is Annexure "A" referred to in the Form 604 "Notice of change of interests of substantial holder"

**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	20-Sep-2019



**Prescribed information****Schedule**

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (Europe) Limited and Atlantic Absolute Return Fund
Transfer date	17-Sep-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 5 days prior.
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 days prior written notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.



Prescribed information	
Schedule	
Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (Europe) Limited and Tasman Market Neutral Fund
Transfer date	17-Sep-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 5 days prior.
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 days prior written notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.