



# Tribeca

Investment Partners

Tribeca Investment Partners Pty Ltd  
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**To:** *Company Announcements*

**Organisation:** *ASX*

**Fax:** *1300 135 638*

**From:** *Ken Liu*

**Pages:** *5 (Including cover sheet)*

**Date:** *11 August 2020*

**Re:** *Notice of change in substantial holding*

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**Message:**

Please find attached a Notice of change in substantial holding for Hexagon Energy Materials Limited (ASX Code: HXG).

Regards

**Ken Liu**  
**Compliance Manager**

Encl.

**Form 604**Corporations Act 2001  
Section 671B**Notice of change of interests of substantial holder****To Company Name/Scheme** **HEXAGON ENERGY MATERIALS LIMITED****ACN/ARSN** **099 098 192****1. Details of substantial holder (1)****Name** **Tribeca Investment Partners Pty Ltd****ACN/ARSN (if applicable)** **080 430 100**There was a change in the interests of the  
substantial holder on **07/08/2020**The previous notice was given to the company on **04/09/2018**The previous notice was dated **04/09/2018****2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
<b>Ordinary</b>	<b>37,145,667</b>	<b>12.73%</b>	<b>32,375,517</b>	<b>11.07</b>

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
<b>Annexure A</b>					

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
<b>Annexure B</b>					

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Tribeca Investment Partners Pty Ltd	Level 23, 1 O'Connell St SYDNEY NSW 2000

**Signature**

print name **Ken Liu**

capacity **Compliance Manager**

sign here



date **11/08/2020**

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

This is Annexure A of 1 page referred to in Form 604 Notice of variation in substantial shareholding.

11-August-2020

Authorised signatory  
Ken Liu

Date

HEXAGON RESOURCES LIMITED						
Date of change	Person whose relevant interest changed	Nature of change / Consideration given in relation to change			Class and Number of securities	Persons votes
28-Sep-18	Tribeca Investment Partners Pty Ltd	On-market buy	AUD	10,387	ORD 76,751	76,751
30-Oct-18		On-market buy	AUD	20,406	ORD 150,000	150,000
02-Nov-18		On-market buy	AUD	26,596	ORD 175,000	175,000
30-Nov-18		On-market buy	AUD	36,265	ORD 250,000	250,000
28-Dec-18		On-market buy	AUD	23,143	ORD 159,638	159,638
28-Dec-18		On-market buy	AUD	20,544	ORD 141,701	141,701
31-Jan-19		On-market buy	AUD	677	ORD 5,000	5,000
31-Jan-19		On-market buy	AUD	19,624	ORD 145,000	145,000
27-Feb-19		On-market buy	AUD	2,100	ORD 15,000	15,000
27-Feb-19		On-market buy	AUD	11,902	ORD 85,000	85,000
28-Feb-19		On-market buy	AUD	10,257	ORD 70,000	70,000
28-Feb-19		On-market buy	AUD	19,049	ORD 130,000	130,000
28-Aug-19		On-market sell	AUD	22,046	ORD 279,411	- 279,411
28-Aug-19		On-market sell	AUD	17,405	ORD 220,589	- 220,589
29-Aug-19		On-market sell	AUD	2,020	ORD 27,895	- 27,895
29-Aug-19		On-market sell	AUD	1,601	ORD 22,105	- 22,105
18-Feb-20		On-market sell	AUD	194	ORD 3,502	- 3,502
18-Feb-20		On-market sell	AUD	220	ORD 3,979	- 3,979
18-Feb-20		On-market sell	AUD	582	ORD 10,519	- 10,519
19-Feb-20		On-market sell	AUD	3,568	ORD 70,269	- 70,269
19-Feb-20		On-market sell	AUD	4,056	ORD 79,876	- 79,876
19-Feb-20		On-market sell	AUD	10,718	ORD 211,097	- 211,097
30-Apr-20		On-market sell	AUD	4,118	ORD 58,854	- 58,854
30-Apr-20		On-market sell	AUD	13,991	ORD 199,981	- 199,981
01-May-20		On-market sell	AUD	1,378	ORD 19,701	- 19,701
01-May-20		On-market sell	AUD	4,683	ORD 66,941	- 66,941
26-May-20		On-market sell	AUD	3,845	ORD 56,680	- 56,680
26-May-20		On-market sell	AUD	6,328	ORD 93,320	- 93,320
27-May-20		On-market sell	AUD	666	ORD 9,825	- 9,825
27-May-20		On-market sell	AUD	1,097	ORD 16,175	- 16,175
29-May-20		On-market sell	AUD	298	ORD 4,394	- 4,394
29-May-20		On-market sell	AUD	490	ORD 7,233	- 7,233
28-Jul-20		On-market sell	AUD	32,308	ORD 505,407	- 505,407
28-Jul-20		On-market sell	AUD	19,627	ORD 306,966	- 306,966
28-Jul-20		On-market sell	AUD	13,845	ORD 216,538	- 216,538
29-Jul-20		On-market sell	AUD	33,815	ORD 529,689	- 529,689
30-Jul-20	On-market sell	AUD	13,765	ORD 212,294	- 212,294	
31-Jul-20	On-market sell	AUD	4,610	ORD 71,107	- 71,107	
04-Aug-20	On-market sell	AUD	227	ORD 3,557	- 3,557	
05-Aug-20	On-market sell	AUD	15,267	ORD 238,779	- 238,779	
06-Aug-20	On-market sell	AUD	43,094	ORD 665,663	- 665,663	
07-Aug-20	On-market sell	AUD	8,168	ORD 124,629	- 124,629	
10-Aug-20	On-market sell	AUD	84,691	ORD 1,292,604	- 1,292,604	
11-Aug-20	On-market sell	AUD	33,731	ORD 543,661	- 543,661	

This is Annexure B of 1 page referred to in Form 604 Notice of variation in substantial shareholding.

11-August-2020

  
 Authorised signatory  
 Ken Liu

Date

HEXAGON RESOURCES LIMITED						
Holder of Relevant Interest	Registered Holder of Securities	Person entitled to be registered as holder	Nature of Relevant Interest	Class and number of securities		Person's votes
Tribeca Investment Partners Pty Ltd	Citicorp Nominees Pty Ltd		Power to control the exercise of a right to vote attached to securities and / or the power to dispose of securities as Investment manager	Ord	13,239,333	13,239,333
	UBS Nominees Pty Ltd			Ord	19,136,184	19,136,184