

## APPENDIX 3Y NOTICE & CLARIFICATIONS

IXUP Limited (“**IXUP**” or “the **Company**”) (ASX: IXU) attaches an Appendix 3Y ‘Change of Director Interest Notice’ for Mr Ian Penrose (**Appendix 3Y**).

### **Movement of Shares by Mr Penrose (“Transfer of Shares”) Effective 3 September 2024**

The attached Appendix 3Y advises of the following changes in Mr Ian Penrose’s interests, effective 3 September 2024, being off-market transfers to Individual Savings Accounts (**ISA**):

- Transfer of 1,104,746 Fully Paid Shares (**Shares**) held by Mr Penrose; and
- Transfer of 278,391 Shares, held by Ms Vanessa Penrose.

The above changes have resulted in no change in beneficial holders.

### **Reconciliation of ISA Accounts**

Further to the above, the Company also advises of the following variances identified following a reconciliation exercise, undertaken as part of the Transfer of Shares, of the ISA accounts held in Mr Penrose and Ms Penrose’s name:

1. a typographical error which omitted 20,000 Shares from the cumulative total of Shares held directly by Mr Penrose via the ISA holding account in the Appendix 3Y dated 5 April 2023. It is noted that the abovementioned form stated the correct quantum of Shares acquired/disposed and it was only the cumulative balance which has been amended;
2. a variance of 666 Shares in the Appendix 3Y dated 6 September 2023 and 5,166 Shares in the Appendix 3Y dated 12 April 2024 relating to Penrose’s entitlement under the 2023 and 2024 Entitlement Offer via the ISA holding account and corresponding free attaching options; and
3. immaterial rounding variances of 1 Share and 1 Unlisted Option in the account of Ms Penrose ISA.

The Company confirms that the Appendix 3Y affixed to this announcement has rectified the above variances and is an accurate disclosure of Mr Penrose’s direct and indirect interests in the Company as at 3 September 2024.

The Company and the Directors are aware of their obligation under Listing Rules 3.19A and 3.19B to provide the necessary information to the Company to meet its disclosure requirements. The Company is committed to maintaining a high standard of corporate governance practices and ensuring its compliance with the ASX Listing Rules. The Company is confident that the processes it has in place will ensure compliance with Listing Rules 3.19A and 3.19B. Mr Penrose has also confirmed that he has implemented reconciliation processes within the ISA structure, including through the broker, whenever there is a movement in the respective ISA balances.

**-ENDS-**

This announcement has been approved for release by Non-Executive Director of IXUP, Ian Penrose.

## About IXUP

IXUP Limited (ASX: IXU) (pronounced 'eyes up') is a pioneering technology company with a world class suite of software products that facilitate the secure sharing and analysis of sensitive information using advanced security technology. The Company's Secure Data Collaboration Suite of products represent the missing 'key' to organisations 'unlocking' their information assets previously unable to be shared or commercialised due to concerns around privacy, cyber security, and compliance considerations. These data collaboration products are being commercialised at a crucial junction when the need to share and drive revenue from sensitive data and dormant data assets is becoming more important yet more difficult to achieve

IXUP is also the developer and operator of BetStop - National Self Exclusion Register™, under exclusive contract with the Commonwealth Government of Australia via the Australian Communications and Media Authority (ACMA). BetStop - the National Self Exclusion Register allows Australians to easily self-exclude from all licensed interactive wagering services for a minimum of 3 months and up to a lifetime.

To learn more, please visit: [www.ixup.com](http://www.ixup.com). IXUP's registered address is Level 11, 201 Miller Street, North Sydney, NSW 2060.

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>IXUP Limited</b>
<b>ABN</b>	<b>85 612 182 368</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Ian Penrose
<b>Date of last notice</b>	15 August 2024

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct & Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Vanessa Penrose (Spouse)  Dunaswood Limited (associated entity – director and shareholder)  Vanessa Penrose Individual Savings Account (ISA) (held via Citicorp Nominees Pty Limited)  Citibank Australia (held via BDL UK Clt Settle Safecustody Acct)
<b>Date of change</b>	3 September 2024

+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

No. of securities held prior to change	<p><b>Direct</b></p> <p>(a) 11,00,000 Performance Rights, where:</p> <ol style="list-style-type: none"> <li>1. 5,000,000 Class A Rights where a) 2.5m Rights vest upon introduction and completion of 1 or more transactions that add an aggregate of at least A\$2.5m in revenue to the Group in any Measurement Period; b) 2.5m Rights vest upon introduction and completion of 1 or more transactions that add an aggregate of at least A\$6.5m in revenue to the Group in any Measurement Period; and c) provided that, as soon as the A\$6.5m revenue threshold above is reached or exceeded in a particular Measurement Period as a result of one or more transactions introduced, all 5m Rights vest.</li> <li>2. 6,000,000 Class B Performance Rights, upon the last to occur of each of a) the VWAP of IXUP shares trading on ASX during any rolling period of 20 continuous trading days meets or exceeds a level which is 33% higher than the closing price for IXUP shares as at the grant date; b) the Group achieves revenue of at least A\$5m in any Measurement Period; and c) the recipient has been engaged by the Group for a continuous period of 3 yrs.</li> </ol> <p>(b) 9,663 Unlisted Options EX \$0.10, Expiring 04/09/2025</p> <p>(c) 6,911,360 Fully paid ordinary shares, noting 20,000 increase from typographical error in cumulative total on App 3Y lodged on 5/4/2023. Original acquisition disclosed correctly in App 3Y lodged on 5/4/2023.. [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]</p> <p>(d) 88,921 Unlisted Options EX \$0.10, Expiring 04/09/2025 [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]</p> <p>(e) 25,000,000 Unlisted Options EX \$0.03, Expiring 31/05/2027</p> <p>(f) 1,104,746 Fully paid ordinary shares [directly beneficially held in Citibank Australia (held via BDL UK Clt Settle Safecustody Acct)]</p> <p><b>Indirect</b></p> <p><u>Vanessa Penrose</u></p> <p>(g) 2,451 Unlisted Options EX \$0.10, Expiring 04/09/2025</p> <p>(h) 278,391 Fully paid ordinary shares [directly beneficially held in Citibank Australia (held via BDL UK Clt Settle Safecustody Acct)]</p> <p><u>Dunaswood Limited</u></p> <p>(i) 1,288,617 Fully paid ordinary shares</p> <p>(j) 15,000,000 unlisted options, each exercisable at \$0.06 per option expiring 16 December 2024</p> <p>(k) 16,667 Unlisted Options EX \$0.10, Expiring 04/09/2025</p> <p><u>Vanessa Penrose ISA</u></p> <p>(l) 1,751,928 Fully paid ordinary shares</p> <p>(m) 22,606 Unlisted Options EX \$0.10, Expiring 04/09/2025</p>
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**Change of Director's Interest Notice**

<b>Class</b>	(c) Fully Paid Ordinary Shares (f) Fully Paid Ordinary Shares (h) Fully Paid Ordinary Shares (l) Fully Paid Ordinary Shares
<b>Number acquired</b>	(c)(i) 1,104,746 Fully Paid Ordinary Shares (c)(ii) 666 Fully Paid Ordinary Shares (c)(iii) 5,166 Fully Paid Ordinary Shares (d) 333 Unlisted Options EX \$0.10, Expiring 04/09/2025 (f) 0 Fully Paid Ordinary Shares (h) 0 Fully Paid Ordinary Shares (l)(i) 278,391 Fully Paid Ordinary Shares
<b>Number disposed</b>	(c) 0 Fully Paid Ordinary Shares (f) (1,104,746) Fully Paid Ordinary Shares (h) (278,391) Fully Paid Ordinary Shares (l)(ii) (1) Fully Paid Ordinary Shares (l)(iii) (1) Unlisted Options EX \$0.10, Expiring 04/09/2025
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	(c)(i) \$0.02 per Fully Paid Ordinary Shares (c)(ii) \$0.06 per Fully Paid Ordinary Shares (from Reconciliation of ISA relating to transactions released in App 3Y on 6/4/2023) (c)(iii) \$0.015 per Fully Paid Ordinary Shares (from Reconciliation of ISA relating to transactions released in App 3Y on 12/4/2024) (d) Nil (from Reconciliation of ISA) (f) \$0.02 per Fully Paid Ordinary Shares (h) \$0.02 per Fully Paid Ordinary Shares (l) 0.02 per Fully Paid Ordinary Shares (l)(ii) N/A rounding (from Reconciliation of ISA) (l)(iii) N/A rounding (from Reconciliation of ISA)

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**Appendix 3Y**  
**Change of Director's Interest Notice**

<p><b>No. of securities held after change</b></p>	<p><b>Direct</b></p> <p>(a) 11,00,000 Performance Rights, where:</p> <ol style="list-style-type: none"> <li>1. 5,000,000 Class A Rights where a) 2.5m Rights vest upon introduction and completion of 1 or more transactions that add an aggregate of at least A\$2.5m in revenue to the Group in any Measurement Period; b) 2.5m Rights vest upon introduction and completion of 1 or more transactions that add an aggregate of at least A\$6.5m in revenue to the Group in any Measurement Period; and c) provided that, as soon as the A\$6.5m revenue threshold above is reached or exceeded in a particular Measurement Period as a result of one or more transactions introduced, all 5m Rights vest.</li> <li>2. 6,000,000 Class B Performance Rights, upon the last to occur of each of a) the VWAP of IXUP shares trading on ASX during any rolling period of 20 continuous trading days meets or exceeds a level which is 33% higher than the closing price for IXUP shares as at the grant date; b) the Group achieves revenue of at least A\$5m in any Measurement Period; and c) the recipient has been engaged by the Group for a continuous period of 3 yrs.</li> </ol> <p>(b) 9,663 Unlisted Options EX \$0.10, Expiring 04/09/2025</p> <p>(c) 8,021,938 Fully paid ordinary shares [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]</p> <p>(d) 89,254 Unlisted Options EX \$0.10, Expiring 04/09/2025 [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]</p> <p>(e) 25,000,000 Unlisted Options EX \$0.03, Expiring 31/05/2027</p> <p>(f) 0 Fully paid ordinary shares [directly beneficially held in Citbank Australia (held via BDL UK Clt Settle Safecustody Acct)]</p> <p><b>Indirect</b></p> <p><u>Vanessa Penrose</u></p> <p>(g) 2,451 Unlisted Options EX \$0.10, Expiring 04/09/2025</p> <p>(h) 0 Fully paid ordinary shares [directly beneficially held in Citbank Australia (held via BDL UK Clt Settle Safecustody Acct)]</p> <p><u>Dunaswood Limited</u></p> <p>(i) 1,288,617 Fully paid ordinary shares</p> <p>(j) 15,000,000 unlisted options, each exercisable at \$0.06 per option expiring 16 December 2024</p> <p>(k) 16,667 Unlisted Options EX \$0.10, Expiring 04/09/2025</p> <p><u>Vanessa Penrose ISA</u></p> <p>(l) 2,030,318 Fully paid ordinary shares</p> <p>(m) 22,605 Unlisted Options EX \$0.10, Expiring 04/09/2025</p>
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## Appendix 3Y

### Change of Director's Interest Notice

<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	(c)(i), (f) (h) (l) Off market transfer. Please note that there has been no change in beneficial holder to the underlying securities (only the name of the holder noted on the register).  (c)(ii), (c)(iii), (d), (l)(ii), (l)(iii) Reconciliation of ISA undertaken on 3 September 2024
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#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

#### Part 3 – +Closed period

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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