

**Form 604**  
Corporations Act 2001  
Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme Silver Lake Resources Limited

ACN/ARSN 108 779 782

### 1. Details of substantial holder (1)

Name Van Eck Associates Corporation (and its associates referred to in paragraph 6).  
ACN/ARSN (if applicable) N/A

There was a change in the interests of the substantial holder on 18/12/2020  
The previous notice was given to the company on 22/04/2020  
The previous notice was dated 21/04/2020

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary shares	84,983,410	9.66%	94,524,077	10.72%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
	Van Eck Associates Corporation ( <b>VEAC</b> )	See Annexure A			

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
VEAC	Bank of New York Mellon as custodian for VanEck Vectors Gold Miners ETF	VanEck Vectors Gold Miners ETF ( <b>GDX</b> )	VEAC holds its relevant interest by having the power to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates in the ordinary course of investment management business.	Ordinary shares 51,245,191	5.81%
VEAC	Bank of New York Mellon as custodian for VanEck Vectors Junior Gold Miners ETF	VanEck Vectors Junior Gold Miners ETF ( <b>GDXJ</b> )	Same as above.	Ordinary shares 38,643,344	4.38%

VEAC	Bank of New York Mellon as custodian for VanEck Vectors Global Mining UCITS ETF	VanEck Vectors Global Mining UCITS ETF (UCTGDIG)	Same as above.	Ordinary shares 19,272	0.00%
VEAC	Bank of New York Mellon as custodian for VanEck Vectors Gold Miners UCITS ETF	VanEck Vectors Gold Miners UCITS ETF (UCTGDX)	Same as above.	Ordinary shares 2,188,326	0.25%
VEAC	Bank of New York Mellon as custodian for VanEck Vectors Junior Gold Miners UCITS ETF	VanEck Vectors Junior Gold Miners UCITS ETF (UCTGDXJ)	Same as above.	Ordinary shares 2,427,944	0.28%

##### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

##### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
VEAC	666 Third Avenue, New York, NY 10017
Van Eck Securities Corporation	666 Third Avenue, New York, NY 10017
Van Eck Absolute Return Advisers, Inc.	666 Third Avenue, New York, NY 10017
VanEck Australia Pty Ltd	Level 4 Aurora Place, 88 Phillip Street, Sydney NSW 2000
VanEck Investments Limited	Level 4 Aurora Place, 88 Phillip Street, Sydney NSW 2000

## Signature

print name Andrew Tilzer

capacity AVP

sign here



date 22/12/2020

##### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included on any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

This is Annexure A of 3 pages referred to in Form 604 - Notice of change of interests of substantial holder.

Holder of relevant interest	Date of Acquisition	B/S	Consideration Cash	Consideration Non-Cash	Number of Securities
GDX	04/22/2020	B	-	In-Kind	165,960
GDX	04/23/2020	B	-	In-Kind	298,728
GDX	04/24/2020	B	-	In-Kind	276,650
GDX	04/29/2020	B	-	In-Kind	470,220
GDX	05/01/2020	B	-	In-Kind	11,066
GDX	05/04/2020	B	-	In-Kind	276,650
GDX	05/06/2020	B	-	In-Kind	298,782
GDX	05/11/2020	B	-	In-Kind	276,650
GDX	05/14/2020	B	-	In-Kind	298,782
GDX	05/15/2020	B	-	In-Kind	165,960
GDX	05/18/2020	B	-	In-Kind	5,532
GDX	05/19/2020	B	-	In-Kind	165,960
GDX	05/20/2020	B	-	In-Kind	586,392
GDX	05/21/2020	B	-	In-Kind	11,064
GDX	05/22/2020	B	-	In-Kind	276,650
GDX	05/26/2020	B	-	In-Kind	5,533
GDX	05/27/2020	B	-	In-Kind	22,128
GDX	05/28/2020	B	-	In-Kind	309,792
GDX	05/29/2020	B	-	In-Kind	16,602
GDX	06/01/2020	B	-	In-Kind	232,470
GDX	06/10/2020	B	-	In-Kind	11,074
GDX	06/11/2020	B	-	In-Kind	304,590
GDX	06/15/2020	S	-	In-Kind	215,982
GDX	06/17/2020	S	-	In-Kind	476,354
GDX	06/19/2020	S	-	In-Kind	16,617
GDX	06/19/2020	S	109,048.21	-	58,045
GDX	06/22/2020	S	-	In-Kind	61,017
GDX	06/23/2020	B	-	In-Kind	149,796
GDX	06/25/2020	S	-	In-Kind	16,641
GDX	06/26/2020	B	-	In-Kind	205,239
GDX	06/29/2020	B	-	In-Kind	166,410
GDX	06/30/2020	B	-	In-Kind	44,384
GDX	07/06/2020	S	-	In-Kind	38,836
GDX	07/07/2020	S	-	In-Kind	166,440
GDX	07/08/2020	S	-	In-Kind	66,576
GDX	07/09/2020	S	-	In-Kind	138,675
GDX	07/13/2020	S	-	In-Kind	38,829
GDX	07/14/2020	S	-	In-Kind	83,205
GDX	07/15/2020	S	-	In-Kind	11,094
GDX	07/20/2020	B	-	In-Kind	221,880
GDX	07/21/2020	B	-	In-Kind	5,547
GDX	07/22/2020	S	-	In-Kind	122,012
GDX	07/24/2020	S	-	In-Kind	349,398

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Holder of relevant interest	Date of Acquisition	B/S	Consideration Cash	Consideration Non-Cash	Number of Securities
GDX	07/27/2020	S	-	In-Kind	83,190
GDX	07/28/2020	S	-	In-Kind	94,282
GDX	07/30/2020	S	-	In-Kind	5,546
GDX	08/04/2020	S	-	In-Kind	105,355
GDX	08/05/2020	B	-	In-Kind	144,170
GDX	08/10/2020	B	-	In-Kind	27,725
GDX	08/11/2020	S	-	In-Kind	105,355
GDX	08/12/2020	B	-	In-Kind	49,905
GDX	08/13/2020	S	-	In-Kind	210,710
GDX	08/17/2020	B	-	In-Kind	22,184
GDX	08/18/2020	S	-	In-Kind	99,828
GDX	08/19/2020	S	-	In-Kind	110,920
GDX	08/24/2020	S	-	In-Kind	61,017
GDX	08/25/2020	B	-	In-Kind	38,843
GDX	08/26/2020	B	-	In-Kind	349,587
GDX	08/27/2020	B	-	In-Kind	44,392
GDX	09/02/2020	S	-	In-Kind	55,510
GDX	09/04/2020	B	-	In-Kind	210,938
GDX	09/08/2020	B	-	In-Kind	399,672
GDX	09/10/2020	S	-	In-Kind	5,556
GDX	09/11/2020	S	-	In-Kind	33,342
GDX	09/16/2020	S	-	In-Kind	155,596
GDX	09/17/2020	S	-	In-Kind	127,811
GDX	09/18/2020	S	-	In-Kind	5,557
GDX	09/18/2020	B	4,793,120.34	-	1,915,907
GDX	09/21/2020	S	-	In-Kind	11,542
GDX	09/22/2020	B	-	In-Kind	40,397
GDX	09/23/2020	B	-	In-Kind	213,490
GDX	09/24/2020	B	-	In-Kind	11,542
GDX	09/25/2020	B	-	In-Kind	277,008
GDX	09/28/2020	S	-	In-Kind	28,855
GDX	09/30/2020	B	-	In-Kind	11,544
GDX	10/06/2020	S	-	In-Kind	5,772
GDX	10/07/2020	S	-	In-Kind	207,792
GDX	10/08/2020	S	-	In-Kind	11,544
GDX	10/12/2020	B	-	In-Kind	51,948
GDX	10/20/2020	B	573,655.50	-	248,162
GDX	10/22/2020	B	-	In-Kind	5,798
GDX	10/23/2020	B	-	In-Kind	243,516
GDX	10/29/2020	S	-	In-Kind	69,564
GDX	10/30/2020	B	-	In-Kind	121,737
GDX	11/04/2020	S	-	In-Kind	342,023
GDX	11/06/2020	S	-	In-Kind	260,865

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Holder of relevant interest	Date of Acquisition	B/S	Consideration Cash	Consideration Non-Cash	Number of Securities
GDX	11/11/2020	B	-	In-Kind	63,756
GDX	11/12/2020	B	93,844.20	-	48,843
GDX	11/13/2020	B	-	In-Kind	231,840
GDX	11/17/2020	B	-	In-Kind	162,288
GDX	11/18/2020	B	-	In-Kind	330,372
GDX	11/19/2020	B	-	In-Kind	173,880
GDX	11/23/2020	B	-	In-Kind	631,764
GDX	11/24/2020	B	-	In-Kind	57,950
GDX	11/30/2020	B	-	In-Kind	261,000
GDX	12/01/2020	B	-	In-Kind	75,387
GDX	12/02/2020	B	-	In-Kind	5,799
GDX	12/07/2020	B	-	In-Kind	17,400
GDX	12/08/2020	B	-	In-Kind	17,403
GDX	12/09/2020	B	-	In-Kind	145,000
GDX	12/15/2020	B	-	In-Kind	150,930
GDX	12/16/2020	B	-	In-Kind	92,880
GDX	12/18/2020	B	-	In-Kind	232,160
GDX	12/18/2020	S	2,398,965.75	-	1,290,670
GDXJ	04/20/2020	S	-	In-Kind	171,550
GDXJ	04/27/2020	S	-	In-Kind	137,232
GDXJ	04/29/2020	S	-	In-Kind	686,040
GDXJ	05/06/2020	S	-	In-Kind	479,892
GDXJ	05/11/2020	S	-	In-Kind	274,240
GDXJ	05/14/2020	S	-	In-Kind	394,220
GDXJ	05/20/2020	B	-	In-Kind	239,904
GDXJ	05/21/2020	B	-	In-Kind	239,918
GDXJ	05/22/2020	B	-	In-Kind	137,096
GDXJ	05/29/2020	B	-	In-Kind	685,480
GDXJ	06/02/2020	S	-	In-Kind	68,536
GDXJ	06/10/2020	S	-	In-Kind	119,952
GDXJ	06/11/2020	B	-	In-Kind	137,056
GDXJ	06/15/2020	S	-	In-Kind	445,510
GDXJ	06/16/2020	B	-	In-Kind	222,703
GDXJ	06/17/2020	S	-	In-Kind	325,508
GDXJ	06/19/2020	S	3,834,032.53	-	2,039,991
GDXJ	06/23/2020	S	-	In-Kind	97,260
GDXJ	06/24/2020	S	-	In-Kind	81,045
GDXJ	06/26/2020	S	-	In-Kind	226,926
GDXJ	07/08/2020	B	-	In-Kind	32,410
GDXJ	07/08/2020	S	144,025.19	-	64,342
GDXJ	07/09/2020	B	-	In-Kind	113,169
GDXJ	07/10/2020	B	-	In-Kind	193,992
GDXJ	07/17/2020	B	-	In-Kind	129,320

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Holder of relevant interest	Date of Acquisition	B/S	Consideration Cash	Consideration Non-Cash	Number of Securities
GDXJ	07/21/2020	B	-	In-Kind	161,630
GDXJ	07/22/2020	B	-	In-Kind	129,312
GDXJ	07/23/2020	B	-	In-Kind	177,793
GDXJ	07/27/2020	S	-	In-Kind	48,489
GDXJ	07/28/2020	B	-	In-Kind	129,288
GDXJ	07/29/2020	S	191,013.72	-	74,667
GDXJ	08/05/2020	B	-	In-Kind	467,190
GDXJ	08/10/2020	B	-	In-Kind	161,110
GDXJ	08/12/2020	S	-	In-Kind	64,444
GDXJ	08/14/2020	S	-	In-Kind	48,351
GDXJ	08/18/2020	B	-	In-Kind	128,936
GDXJ	08/19/2020	B	-	In-Kind	32,234
GDXJ	09/02/2020	S	-	In-Kind	48,381
GDXJ	09/10/2020	S	-	In-Kind	64,552
GDXJ	09/14/2020	S	-	In-Kind	80,725
GDXJ	09/18/2020	S	3,465,872.19	-	1,387,320
GDXJ	09/21/2020	B	233,515.12	-	94,029
GDXJ	09/23/2020	B	-	In-Kind	46,599
GDXJ	09/28/2020	B	-	In-Kind	155,370
GDXJ	10/05/2020	S	-	In-Kind	124,304
GDXJ	10/06/2020	S	-	In-Kind	139,833
GDXJ	10/12/2020	B	-	In-Kind	62,152
GDXJ	10/26/2020	B	-	In-Kind	62,148
GDXJ	10/27/2020	B	570,634.24	-	267,716
GDXJ	10/28/2020	B	-	In-Kind	156,540
GDXJ	10/29/2020	S	-	In-Kind	93,864
GDXJ	10/30/2020	S	-	In-Kind	125,144
GDXJ	11/02/2020	B	-	In-Kind	203,359
GDXJ	11/06/2020	B	-	In-Kind	31,282
GDXJ	11/12/2020	S	-	In-Kind	15,641
GDXJ	11/13/2020	B	-	In-Kind	62,564
GDXJ	11/18/2020	B	-	In-Kind	187,632
GDXJ	11/19/2020	B	-	In-Kind	281,448
GDXJ	11/24/2020	S	-	In-Kind	31,272
GDXJ	11/25/2020	B	-	In-Kind	93,840
GDXJ	12/01/2020	B	-	In-Kind	203,320
GDXJ	12/02/2020	B	-	In-Kind	453,560
GDXJ	12/03/2020	B	-	In-Kind	109,473
GDXJ	12/17/2020	B	-	In-Kind	31,284
GDXJ	12/18/2020	B	-	In-Kind	62,568
GDXJ	12/18/2020	B	4,593,568.63	-	2,467,933
UCTGDX	04/24/2020	B	10,298.66	-	5,240
UCTGDX	04/29/2020	B	11,624.50	-	5,855

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Holder of relevant interest	Date of Acquisition	B/S	Consideration Cash	Consideration Non-Cash	Number of Securities
UCTGDX	04/29/2020	B	11,630.32	-	5,855
UCTGDX	05/07/2020	B	11,157.91	-	5,856
UCTGDX	05/12/2020	B	11,714.34	-	5,856
UCTGDX	05/14/2020	B	69,385.22	-	35,130
UCTGDX	05/15/2020	B	12,590.77	-	5,855
UCTGDX	05/19/2020	B	37,772.30	-	17,565
UCTGDX	05/20/2020	B	51,763.38	-	23,420
UCTGDX	05/29/2020	B	25,650.03	-	11,710
UCTGDX	06/01/2020	B	13,239.47	-	5,857
UCTGDX	06/01/2020	B	52,952.57	-	23,428
UCTGDX	06/10/2020	B	22,624.12	-	11,720
UCTGDX	06/15/2020	B	55,382.54	-	29,300
UCTGDX	06/16/2020	B	11,341.37	-	5,860
UCTGDX	06/19/2020	B	3,089.77	-	1,643
UCTGDX	06/22/2020	B	3,240.95	-	1,644
UCTGDX	06/22/2020	B	93,945.39	-	46,968
UCTGDX	06/23/2020	B	23,606.14	-	11,742
UCTGDX	06/24/2020	B	12,153.33	-	5,870
UCTGDX	06/24/2020	B	24,306.66	-	11,740
UCTGDX	06/25/2020	B	35,227.04	-	17,610
UCTGDX	06/25/2020	B	35,223.52	-	17,610
UCTGDX	07/06/2020	B	25,128.62	-	11,740
UCTGDX	07/07/2020	B	12,975.29	-	5,870
UCTGDX	07/08/2020	B	78,908.58	-	35,220
UCTGDX	07/08/2020	B	39,450.34	-	17,610
UCTGDX	07/13/2020	B	96,307.07	-	40,804
UCTGDX	07/21/2020	B	128,896.13	-	52,821
UCTGDX	07/21/2020	B	85,939.34	-	35,214
UCTGDX	07/24/2020	B	30,402.32	-	11,736
UCTGDX	07/27/2020	B	46,831.32	-	17,604
UCTGDX	08/04/2020	B	116,902.25	-	46,944
UCTGDX	08/04/2020	B	43,842.73	-	17,604
UCTGDX	08/06/2020	B	15,315.93	-	5,867
UCTGDX	08/12/2020	B	78,164.07	-	35,202
UCTGDX	08/13/2020	B	25,937.33	-	11,734
UCTGDX	08/14/2020	B	26,524.14	-	11,734
UCTGDX	08/14/2020	B	13,262.07	-	5,867
UCTGDX	08/17/2020	B	12,970.87	-	5,868
UCTGDX	08/17/2020	B	25,941.75	-	11,736
UCTGDX	08/19/2020	B	253,137.46	-	111,492
UCTGDX	08/24/2020	B	80,994.60	-	35,208
UCTGDX	08/24/2020	B	26,998.20	-	11,736
UCTGDX	08/27/2020	B	100,075.53	-	46,322





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Holder of relevant interest	Date of Acquisition	B/S	Consideration Cash	Consideration Non-Cash	Number of Securities
UCTGDX	09/02/2020	B	132,756.35	-	58,730
UCTGDX	09/03/2020	B	13,216.89	-	5,873
UCTGDX	09/03/2020	B	39,650.68	-	17,619
UCTGDX	09/11/2020	B	66,134.11	-	29,390
UCTGDX	09/14/2020	B	13,583.21	-	5,879
UCTGDX	09/17/2020	B	14,286.40	-	5,878
UCTGDX	09/18/2020	B	217,264.48	-	86,845
UCTGDX	09/21/2020	B	28,599.53	-	11,767
UCTGDX	09/23/2020	B	14,471.74	-	6,105
UCTGDX	09/25/2020	B	14,349.62	-	6,105
UCTGDX	09/28/2020	B	101,302.21	-	42,735
UCTGDX	10/01/2020	S	79,359.42	-	34,511
UCTGDX	10/02/2020	S	27,837.79	-	12,212
UCTGDX	10/22/2020	B	27,248.72	-	11,950
UCTGDX	11/02/2020	B	26,123.13	-	12,321
UCTGDX	12/02/2020	S	79,293.86	-	42,982
UCTGDX	12/03/2020	S	89,567.52	-	49,083
UCTGDX	12/16/2020	S	56,188.13	-	30,375
UCTGDX	12/18/2020	S	80,905.63	-	43,502
UCTGDXJ	04/29/2020	S	2,507.28	-	1,264
UCTGDXJ	04/29/2020	S	28,534.24	-	14,385
UCTGDXJ	05/12/2020	S	86,268.74	-	43,143
UCTGDXJ	05/14/2020	B	170,437.08	-	86,280
UCTGDXJ	05/27/2020	B	89,412.25	-	42,367
UCTGDXJ	06/10/2020	B	221,925.87	-	114,976
UCTGDXJ	06/19/2020	S	170,684.74	-	90,817
UCTGDXJ	06/24/2020	B	169,127.63	-	81,696
UCTGDXJ	07/08/2020	S	6,710.82	-	2,998
UCTGDXJ	07/22/2020	B	64,036.98	-	25,306
UCTGDXJ	07/24/2020	B	35,181.82	-	13,581
UCTGDXJ	07/27/2020	B	36,132.69	-	13,581
UCTGDXJ	07/27/2020	B	144,516.29	-	54,324
UCTGDXJ	07/29/2020	S	10,851.92	-	4,242
UCTGDXJ	08/05/2020	B	69,880.37	-	27,080
UCTGDXJ	08/14/2020	B	122,404.80	-	54,156
UCTGDXJ	08/18/2020	B	31,837.12	-	13,545
UCTGDXJ	08/20/2020	B	30,480.09	-	13,544
UCTGDXJ	08/24/2020	B	62,314.86	-	27,088
UCTGDXJ	09/02/2020	B	122,513.29	-	54,204
UCTGDXJ	09/10/2020	B	158,028.90	-	67,810
UCTGDXJ	09/14/2020	B	62,692.08	-	27,134
UCTGDXJ	09/18/2020	S	208,857.32	-	83,568
UCTGDXJ	09/21/2020	B	17,224.84	-	6,944

This is Annexure A of 1 page referred to in Form 604 - Notice of change of interests of substantial holder.

Holder of relevant interest	Date of Acquisition	B/S	Consideration Cash	Consideration Non-Cash	Number of Securities
UCTGDXJ	10/14/2020	B	33,039.58	-	13,708
UCTGDXJ	10/15/2020	B	30,615.06	-	12,755
UCTGDXJ	10/16/2020	B	31,424.99	-	13,371
UCTGDXJ	10/27/2020	B	34,456.20	-	16,175
UCTGDXJ	10/30/2020	B	26,652.74	-	12,631
UCTGDXJ	11/03/2020	B	26,804.35	-	12,351
UCTGDXJ	11/09/2020	B	89,284.61	-	39,156
UCTGDXJ	11/12/2020	B	26,490.97	-	13,796
UCTGDXJ	11/17/2020	B	47,762.86	-	24,874
UCTGDXJ	11/24/2020	B	44,230.62	-	26,247
UCTGDXJ	11/25/2020	S	155,097.17	-	92,055
UCTGDXJ	12/02/2020	B	72,833.12	-	39,472
UCTGDXJ	12/03/2020	B	48,004.13	-	26,301
UCTGDXJ	12/08/2020	B	49,515.39	-	26,196
UCTGDXJ	12/17/2020	B	54,620.79	-	28,897
UCTGDXJ	12/18/2020	B	357,976.05	-	192,441
UCTGDIG	05/06/2020	B	2,423.59	-	1,275
UCTGDIG	07/15/2020	B	3,206.32	-	1,358
UCTGDIG	07/27/2020	B	9,734.66	-	3,658
UCTGDIG	08/14/2020	B	2,783.31	-	1,231
UCTGDIG	08/27/2020	S	2,653.45	-	1,229
UCTGDIG	09/17/2020	B	3,063.18	-	1,260
UCTGDIG	10/20/2020	B	3,376.43	-	1,461
UCTGDIG	10/22/2020	S	2,866.95	-	1,258
UCTGDIG	12/03/2020	B	4,615.68	-	2,528
UCTGDIG	12/15/2020	B	2,207.03	-	1,257
In-Kind transactions result from UCTGDIG receiving a basket of securities (including SILVER LAKE RESOURCES LTD) in exchange for securities in UCTGDIG.					

