

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	Sumatra Copper & Gold plc
<b>ABN</b>	14 136 694 267

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Gavin Caudle
<b>Date of last notice</b>	23 December 2015

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Provident Minerals Pte Ltd - (Director and shareholder)
<b>Date of change</b>	28 January 2016

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+ See chapter 19 for defined terms.

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<b>No. of securities held prior to change</b>	<p><b>Provident Minerals Pte Ltd</b> (Director and shareholder) –</p> <ul style="list-style-type: none"> <li>• 232,750,037 CHESS Depositary Interests.</li> <li>• 12,045,000 unquoted Warrants each exercisable into 1 CHESS Depositary Interest at an exercise price of A\$0.06 each on or before 7 April 2017.</li> <li>• 9,506,557 unquoted Warrants each exercisable into 1 CDI at an exercise price of A\$0.057 each on or before 7 August 2017.</li> <li>• 2,000,000 convertible notes; face value of US\$2,000,000; A0.057; 12/11/2017.</li> </ul> <p><b>HSBC Custody Nominees (Australia) Limited</b> (beneficial holder is Provident Minerals Pte Ltd, a company which G. Caudle is a Director and shareholder) –</p> <ul style="list-style-type: none"> <li>• 5,138,620 CHESS Depositary Interests.</li> </ul>
<b>Class</b>	Convertible notes with a face value of US\$500,000 subject to the terms and conditions contained in Annexure A of the 11 January 2016 Notice of General Meeting which was released to ASX on 22 December 2015.
<b>Number acquired</b>	500,000 convertible notes.
<b>Number disposed</b>	Nil.
<p><b>Value/Consideration</b>  Note: If consideration is non-cash, provide details and estimated valuation</p>	US\$500,000.

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<b>No. of securities held after change</b>	<p><b>Provident Minerals Pte Ltd</b> (Director and shareholder) –</p> <ul style="list-style-type: none"> <li>• 232,750,037 CHES Depositary Interests.</li> <li>• 12,045,000 unquoted Warrants each exercisable into 1 CHES Depositary Interest at an exercise price of A\$0.06 each on or before 7 April 2017.</li> <li>• 9,506,557 unquoted Warrants each exercisable into 1 CDI at an exercise price of A\$0.057 each on or before 7 August 2017.</li> <li>• 2,500,000 convertible notes; face value of US\$2,500,000; A0.057; 12/11/2017.</li> </ul> <p><b>HSBC Custody Nominees (Australia) Limited</b> (beneficial holder is Provident Minerals Pte Ltd, a company which G. Caudle is a Director and shareholder) –</p> <ul style="list-style-type: none"> <li>• 5,138,620 CHES Depositary Interests.</li> </ul>
<p><b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p>	<p>Issue of 500,000 Tranche 2 convertible notes (face value US\$500,000) to Provident Minerals Pte Ltd issued in repayment of US\$500,000 drawn down under the Working Capital Facility.</p>

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<p><b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed</p>	N/A
<b>Interest acquired</b>	N/A

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<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A
<b>Interest after change</b>	N/A

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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